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GRANT SUMMARY SHEET

Grant Name: General Session DUI Court 21-25

Department: GENERAL SESSIONS CT.

Grantor: U.S. DEPARTMENT OF JUSTICE

Pass-Through Grantor (If applicable):

Total Award this Action: $352,425.00

Cash Match $0.00

Department Contact: Lauren Berens
862-8380

Status: NEW

Program Description:
BJA is a 48 month grant for the implementation of a new General Sessions DUI Court under the Recovery Court Department. Funding will be used to supplement drug testing costs, operation costs, community building events, family focused support, bus passes, emergency needs following release from jail, rent support, therapy, medications and treatment services as clinically assessed.

Plan for continuation of services upon grant expiration:
Request funding from Metro for supplemental support.

B.A. Initials DS kH

5214

Grants Tracking Form

Part One

Pre-Application ○ Application ○ Award Acceptance ● Contract Amendment ○

Department	Dept. No.	Contact	Phone	Fax
GENERAL SESSIONS CT	027	Lauren Berens	862-8380	214-3609

Grant Name:	General Session DUI Court 21-25		
Grantor:	U.S. DEPARTMENT OF JUSTICE	Other:	
Grant Period From:	10/01/21	(applications only) Anticipated Application Date:	
Grant Period To:	09/30/25	(applications only) Application Deadline:	
Funding Type:	FED DIRECT	Multi-Department Grant ☐ → If yes, list below.	
Pass-Thru:		Outside Consultant Project: ☐	
Award Type:	COMPETITIVE	Total Award:	$352,425.00
Status:	NEW	Metro Cash Match:	$0.00
Metro Category:	New Initiative	Metro In-Kind Match:	$88,106.25
CFDA #	16.585	Is Council approval required?	☑
Project Description:		Applic. Submitted Electronically?	☐

BJA is a 48 month grant for the implementation of a new General Sessions DUI Court under the Recovery Court Department. Funding will be used to supplement drug testing costs, operation costs, community building events, family focused support, bus passes, emergency needs following release from jail, rent support, therapy, medications and treatment services as clinically assessed. 6

Plan for continuation of service after expiration of grant/Budgetary Impact:

Request funding from Metro for supplemental support.

How is Match Determined?

Fixed Amount of $		or	25.0%	% of Grant	Other: ☐

Explanation for "Other" means of determining match:

Match requirement will be met through the partner TN Recovery Foundation.

For this Metro FY, how much of the required local Metro cash match:

Is already in department budget?		Fund	Business Unit
Is not budgeted?		Proposed Source of Match:	TN Recovery Foundation
(Indicate Match Amount & Source for Remaining Grant Years in Budget Below)			

Other:

Number of FTEs the grant will fund:	0.00	Actual number of positions added:	0.00	
Departmental Indirect Cost Rate	25.00%	Indirect Cost of Grant to Metro:	$88,106.25	
*Indirect Costs allowed? ● Yes ○ No % Allow.	25.00%	Ind. Cost Requested from Grantor:	$88,106.25	in budget

*(If "No", please attach documentation from the grantor that indirect costs are not allowable. See Instructions)

Draw down allowable? ☐

Metro or Community-based Partners:

Part Two

Grant Budget

Budget Year	Metro Fiscal Year	Federal Grantor	State Grantor	Other Grantor	Local Match Cash	Match Source (Fund, BU)	Local Match In-Kind	Total Grant Each Year	Indirect Cost to Metro	Ind. Cost Neg. from Grantor
Yr 1	FY21	$64,077.27			$0.00		$16,019.32	$80,096.59	$16,019.32	$16,019.32
Yr 2	FY22	$96,115.91			$0.00		$24,028.98	$120,144.89	$24,028.98	$24,028.98
Yr 3	FY23	$96,115.91			$0.00		$24,028.98	$120,144.89	$24,028.98	$24,028.98
Yr 4	FY24	$96,115.91			$0.00		$24,028.98	$120,144.89	$24,028.98	$24,028.98
Yr 5	FY__									
Total		$352,425.00	$0.00	$0.00	$0.00		$88,106.25	$440,531.25	$88,106.25	$88,106.25

Date Awarded:	02/04/22	Tot. Awarded:	$352,425.00	Contract#:	15PBJA-21-GG-04160-DGCT
(or) Date Denied:		Reason:			
(or) Date Withdrawn:		Reason:			

Contact: trinity.weathersby@nashville.gov
vaughn.wilson@nashville.gov

Rev. 5/13/13
5214

GCP RECEIVED 2/8/22 GCP APPROVED 2/8/22 TW

Award Letter

December 17, 2021

Dear John Cooper,

On behalf of Attorney General Merrick B. Garland, it is my pleasure to inform you the Office of Justice Programs (OJP) has approved the application submitted by NASHVILLE & DAVIDSON COUNTY, METROPOLITAN GOVERNMENT OF for an award under the funding opportunity entitled 2021 BJA FY 21 Adult Drug Court and Veterans Treatment Court Discretionary Grant Program
. The approved award amount is $352,425.

Review the Award Instrument below carefully and familiarize yourself with all conditions and requirements before accepting your award. The Award Instrument includes the Award Offer (Award Information, Project Information, Financial Information, and Award Conditions) and Award Acceptance.

Please note that award requirements include not only the conditions and limitations set forth in the Award Offer, but also compliance with assurances and certifications that relate to conduct during the period of performance for the award. These requirements encompass financial, administrative, and programmatic matters, as well as other important matters (e.g., specific restrictions on use of funds). Therefore, all key staff should receive the award conditions, the assurances and certifications, and the application as approved by OJP, so that they understand the award requirements. Information on all pertinent award requirements also must be provided to any subrecipient of the award.

Should you accept the award and then fail to comply with an award requirement, DOJ will pursue appropriate remedies for non-compliance, which may include termination of the award and/or a requirement to repay award funds.

To accept the award, the Authorized Representative(s) must accept all parts of the Award Offer in the Justice Grants System (JustGrants), including by executing the required declaration and certification, within 45 days from the award date.

Congratulations, and we look forward to working with you.

Amy Solomon
Principal Deputy Assistant Attorney General

Office for Civil Rights Notice for All Recipients

The Office for Civil Rights (OCR), Office of Justice Programs (OJP), U.S. Department of Justice (DOJ) has been delegated the responsibility for ensuring that recipients of federal financial

assistance from the OJP, the Office of Community Oriented Policing Services (COPS), and the Office on Violence Against Women (OVW) are not engaged in discrimination prohibited by law. Several federal civil rights laws, such as Title VI of the Civil Rights Act of 1964 and Section 504 of the Rehabilitation Act of 1973, require recipients of federal financial assistance to give assurances that they will comply with those laws. Taken together, these civil rights laws prohibit recipients of federal financial assistance from DOJ from discriminating in services and employment because of race, color, national origin, religion, disability, sex, and, for grants authorized under the Violence Against Women Act, sexual orientation and gender identity. Recipients are also prohibited from discriminating in services because of age. For a complete review of these civil rights laws and nondiscrimination requirements, in connection with DOJ awards, see https://ojp.gov/funding/Explore/LegalOverview/CivilRightsRequirements.htm.

Under the delegation of authority, the OCR investigates allegations of discrimination against recipients from individuals, entities, or groups. In addition, the OCR conducts limited compliance reviews and audits based on regulatory criteria. These reviews and audits permit the OCR to evaluate whether recipients of financial assistance from the Department are providing services in a nondiscriminatory manner to their service population or have employment practices that meet equal-opportunity standards.

If you are a recipient of grant awards under the Omnibus Crime Control and Safe Streets Act or the Juvenile Justice and Delinquency Prevention Act and your agency is part of a criminal justice system, there are two additional obligations that may apply in connection with the awards: (1) complying with the regulation relating to Equal Employment Opportunity Programs (EEOPs); and (2) submitting findings of discrimination to OCR. For additional information regarding the EEOP requirement, see 28 CFR Part 42, subpart E, and for additional information regarding requirements when there is an adverse finding, see 28 C.F.R. §§ 42.204(c), .205(c)(5).

The OCR is available to help you and your organization meet the civil rights requirements that are associated with DOJ grant funding. If you would like the OCR to assist you in fulfilling your organization's civil rights or nondiscrimination responsibilities as a recipient of federal financial assistance, please do not hesitate to contact the OCR at askOCR@ojp.usdoj.gov.

Memorandum Regarding NEPA

NEPA Letter Type

OJP - Categorical Exclusion

NEPA Letter

None of the following activities will be conducted whether under the Office of Justice Programs federal action or a related third party action:

(1) New construction

(2) Any renovation or remodeling of a property located in an environmentally or historically sensitive area, including property (a) listed on or eligible for listing on the National Register of Historic Places, or (b) located within a 100-year flood plain, a wetland, or habitat for an endangered species

(3) A renovation that will change the basic prior use of a facility or significantly change its size

(4) Research and technology whose anticipated and future application could be expected to have an effect on the environment

(5) Implementation of a program involving the use of chemicals (including the

identification, seizure, or closure of clandestine methamphetamine laboratories)

Additionally, the proposed action is neither a phase nor a segment of a project that when reviewed in its entirety would not meet the criteria for a categorical exclusion.

Consequently, the subject federal action meets the Office of Justice Programs' criteria for a categorical exclusion as contained in paragraph 4(b) of Appendix D to Part 61 of Title 28 of the Code of Federal Regulations.

Questions about this determination may be directed to your grant manager or Environmental

NEPA Coordinator

First Name	Middle Name	Last Name
Orbin	——	Terry

Award Information

This award is offered subject to the conditions or limitations set forth in the Award Information, Project Information, Financial Information, and Award Conditions.

Recipient Information

Recipient Name
NASHVILLE & DAVIDSON COUNTY, METROPOLITAN GOVERNMENT OF

DUNS Number
078217668

UEI
——

Street 1
1 PUBLIC SQ

Street 2
——

City
NASHVILLE

State/U.S. Territory
Tennessee

Zip/Postal Code

Country

County/Parish

——

Province

——

Award Details

Federal Award Date
12/17/21

Award Type
Initial

Award Number
15PBJA-21-GG-04160-DGCT

Supplement Number
00

Federal Award Amount
$352,425.00

Funding Instrument Type
Grant

Assistance Listing Number	Assistance Listings Program Title
16.585	

Statutory Authority
34 USC 10611, et seq.; Pub. L. No. 116-260, 134 Stat 1182, 1259

I have read and understand the information presented in this section of the Federal Award Instrument.

Project Information

This award is offered subject to the conditions or limitations set forth in the Award Information, Project Information, Financial Information, and Award Conditions.

Solicitation Title

2021 BJA FY 21 Adult Drug Court and Veterans Treatment Court Discretionary Grant Program

Awarding Agency

OJP

Program Office

BJA

Application Number

GRANT13331504

Grant Manager Name **Phone Number**

Kerri Vitalo-Logan 202-353-9074

E-mail Address

Kerri.Vitalo-Logan@ojp.usdoj.gov

Project Title

Davidson County GS Recovery Court is applying for funds to begin and support the operations of a DUI court.

Performance Period Start Date	Performance Period End Date
10/01/2021	09/30/2025

Budget Period Start Date	Budget Period End Date
10/01/2021	09/30/2025

Project Description

Category 3 funding is requested to implement a DUI Court in the General Sessions Court of Nashville and Davidson Court. The program will serve participants in General Sessions Court convicted of a misdemeanor DUI offense. The court is requesting the total allowable amount of $301,539.86 in funding for the duration of the grant. The new DUI Court proposes to serve 350 new participants over the grant reporting period. The minimum length of the program will be 11 months and 29 days, with a proposed maximum of 18 months. The target population includes persons who have been charged with a misdemeanor DUI (without victim injury), have been identified as high-risk for committing a new offense and high-need for substance use disorder treatment, and who voluntarily enter DUI Court. Participants in the DUI Court

will have access to Medication-Assisted Therapy (MAT) through local community partners and will receive clinical assessments for necessary service referrals. The Court will integrate National Center for DWI Courts 10 Guiding Principles (Narrative p.12-13). The Court will operate in a multidisciplinary team approach with judicial leadership. Funding will be used as allowed to support training, direct services for participants, housing, drug and alcohol testing and therapeutic services as assessed including a heavy integration of Medication Assisted Treatment.

Upon acceptance into the DUI court, participants will complete a variety of clinical assessments and will be referred to appropriate service providers both in and around Nashville. All participants will receive assistance in acquiring supportive services to minimize their risk of reoffending and prepare them for life beyond DUI Court. The residents of the Metropolitan Nashville area will benefit from the program because of increased public safety, reduced rates of substance use, and cost savings incurred through more efficient due process with persons charged with DUI. Participants will address the root causes of their behavior, attend rigorous rehabilitative treatment, and be mandated to acquire employment to pay court fees, restitution, and contribute to the betterment of society. All services provided to participants and communication with and about participants will be maintained in our probation database. Drug testing results will be maintained in aversys (Averhealth web-based database). Outcome data and participant surveys will be used to evaluate the DUI Court effectiveness.

No other funding services are currently being leveraged by General Sessions in conjunction with this grant, and the applicant jurisdiction has not previously received a drug court grant from OJP.

☐ *I have read and understand the information presented in this section of the Federal Award Instrument.*

Financial Information

This award is offered subject to the conditions or limitations set forth in the Award Information, Project Information, Financial Information, and Award Conditions.

The recipient budget is currently under review.

☐

I have read and understand the information presented in this section of the Federal Award Instrument.

Award Conditions

This award is offered subject to the conditions or limitations set forth in the Award Information, Project Information, Financial Information, and Award Conditions.

1

Applicability of Part 200 Uniform Requirements

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by DOJ in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements") apply to this FY 2021 award from OJP.

The Part 200 Uniform Requirements were first adopted by DOJ on December 26, 2014. If this FY 2021 award supplements funds previously awarded by OJP under the same award number (e.g., funds awarded during or before December 2014), the Part 200 Uniform Requirements apply with respect to all funds under that award number (regardless of the award date, and regardless of whether derived from the initial award or a supplemental award) that are obligated on or after the acceptance date of this FY 2021 award.

For more information and resources on the Part 200 Uniform Requirements as they relate to OJP awards and subawards ("subgrants"), see the OJP website at https://ojp.gov/funding/Part200UniformRequirements.htm.

Record retention and access: Records pertinent to the award that the recipient (and any subrecipient ("subgrantee") at any tier) must retain -- typically for a period of 3 years from the date of submission of the final expenditure report (SF 425), unless a different retention period applies -- and to which the recipient (and any subrecipient ("subgrantee") at any tier) must

provide access, include performance measurement information, in addition to the financial records, supporting documents, statistical records, and other pertinent records indicated at 2 C.F.R. 200.333.

In the event that an award-related question arises from documents or other materials prepared or distributed by OJP that may appear to conflict with, or differ in some way from, the provisions of the Part 200 Uniform Requirements, the recipient is to contact OJP promptly for clarification.

2

Requirement to report actual or imminent breach of personally identifiable information (PII)

The recipient (and any "subrecipient" at any tier) must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if it (or a subrecipient) -- (1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of "Personally Identifiable Information (PII)" (2 CFR 200.1) within the scope of an OJP grant-funded program or activity, or (2) uses or operates a "Federal information system" (OMB Circular A-130). The recipient's breach procedures must include a requirement to report actual or imminent breach of PII to an OJP Program Manager no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.

3

Required training for Grant Award Administrator and Financial Manager

The Grant Award Administrator and all Financial Managers for this award must have successfully completed an "OJP financial management and grant administration training" by 120 days after the date of the recipient's acceptance of the award. Successful completion of such a training on or after January 1, 2019, will satisfy this condition.

In the event that either the Grant Award Administrator or a Financial Manager for this award changes during the period of performance, the new Grant Award Administrator or Financial Manager must have successfully completed an "OJP financial management and grant administration training" by 120 calendar days after the date the Entity Administrator enters updated Grant Award Administrator or Financial Manager information in JustGrants. Successful completion of such a training on or after January 1, 2019, will satisfy this condition.

A list of OJP trainings that OJP will consider "OJP financial management and grant administration training" for purposes of this condition is available at https://www.ojp.gov/training/fmts.htm. All trainings that satisfy this condition include a session on grant fraud prevention and detection.

The recipient should anticipate that OJP will immediately withhold ("freeze") award funds if the recipient fails to comply with this condition. The recipient's failure to comply also may lead OJP to impose additional appropriate conditions on this award.

4

Safe policing and law enforcement subrecipients

If this award is a discretionary award, the recipient agrees that it will not make any subawards to State, local, college, or university law enforcement agencies unless such agencies have

been certified by an approved independent credentialing body or have started the certification process. To become certified, law enforcement agencies must meet two mandatory conditions: (1) the agency's use of force policies adhere to all applicable federal, state, and local laws; and (2) the agency's use of force policies prohibit chokeholds except in situations where use of deadly force is allowed by law. For detailed information on this certification requirement, see https://cops.usdoj.gov/SafePolicingEO.

5

Effect of failure to address audit issues

The recipient understands and agrees that the DOJ awarding agency (OJP or OVW, as appropriate) may withhold award funds, or may impose other related requirements, if (as determined by the DOJ awarding agency) the recipient does not satisfactorily and promptly address outstanding issues from audits required by the Part 200 Uniform Requirements (or by the terms of this award), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.

6

Requirements of the award; remedies for non-compliance or for materially false statements

The conditions of this award are material requirements of the award. Compliance with any assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance also is a material requirement of this award.

Limited Exceptions. In certain special circumstances, the U.S. Department of Justice ("DOJ") may determine that it will not enforce, or enforce only in part, one or more requirements otherwise applicable to the award. Any such exceptions regarding enforcement, including any such exceptions made during the period of performance, are (or will be during the period of performance) set out through the Office of Justice Programs ("OJP") webpage entitled "Legal Notices: Special circumstances as to particular award conditions" (ojp.gov/funding/Explore/LegalNotices-AwardReqts.htm), and incorporated by reference into the award.

By signing and accepting this award on behalf of the recipient, the authorized recipient official accepts all material requirements of the award, and specifically adopts, as if personally executed by the authorized recipient official, all assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance.

Failure to comply with one or more award requirements -- whether a condition set out in full below, a condition incorporated by reference below, or an assurance or certification related to conduct during the award period -- may result in OJP taking appropriate action with respect to the recipient and the award. Among other things, the OJP may withhold award funds, disallow costs, or suspend or terminate the award. DOJ, including OJP, also may take other legal action as appropriate.

Any materially false, fictitious, or fraudulent statement to the federal government related to this award (or concealment or omission of a material fact) may be the subject of criminal prosecution (including under 18 U.S.C. 1001 and/or 1621, and/or 34 U.S.C. 10271-10273), and also may lead to imposition of civil penalties and administrative remedies for false claims or otherwise (including under 31 U.S.C. 3729-3730 and 3801-3812).

Should any provision of a requirement of this award be held to be invalid or unenforceable by

its terms, that provision shall first be applied with a limited construction so as to give it the maximum effect permitted by law. Should it be held, instead, that the provision is utterly invalid or -unenforceable, such provision shall be deemed severable from this award.

7

Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 38

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 38 (as may be applicable from time to time), specifically including any applicable requirements regarding written notice to program beneficiaries and prospective program beneficiaries.

Currently, among other things, 28 C.F.R. Part 38 includes rules that prohibit specific forms of discrimination on the basis of religion, a religious belief, a refusal to hold a religious belief, or refusal to attend or participate in a religious practice. Part 38, currently, also sets out rules and requirements that pertain to recipient and subrecipient ("subgrantee") organizations that engage in or conduct explicitly religious activities, as well as rules and requirements that pertain to recipients and subrecipients that are faith-based or religious organizations.

The text of 28 C.F.R. Part 38 is available via the Electronic Code of Federal Regulations (currently accessible at https://www.ecfr.gov/cgi-bin/ECFR?page=browse), by browsing to Title 28-Judicial Administration, Chapter 1, Part 38, under e-CFR "current" data.

8

Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 42

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 42, specifically including any applicable requirements in Subpart E of 28 C.F.R. Part 42 that relate to an equal employment opportunity program.

9

Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 54

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 54, which relates to nondiscrimination on the basis of sex in certain "education programs."

10

Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)

The recipient (and any subrecipient at any tier) must comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The recipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the recipient is to contact the DOJ awarding agency (OJP or OVW, as appropriate) for guidance.

11

Compliance with applicable rules regarding approval, planning, and reporting of conferences, meetings, trainings, and other events

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "DOJ Grants Financial Guide").

12

Requirement for data on performance and effectiveness under the award

The recipient must collect and maintain data that measure the performance and effectiveness of work under this award. The data must be provided to OJP in the manner (including within the timeframes) specified by OJP in the program solicitation or other applicable written guidance. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act of 2010, and other applicable laws.

13

Requirements related to "de minimis" indirect cost rate

A recipient that is eligible under the Part 200 Uniform Requirements and other applicable law to use the "de minimis" indirect cost rate described in 2 C.F.R. 200.414(f), and that elects to use the "de minimis" indirect cost rate, must advise OJP in writing of both its eligibility and its election, and must comply with all associated requirements in the Part 200 Uniform Requirements. The "de minimis" rate may be applied only to modified total direct costs (MTDC) as defined by the Part 200 Uniform Requirements.

14

Determination of suitability to interact with participating minors

SCOPE. This condition applies to this award if it is indicated -- in the application for the award (as approved by DOJ)(or in the application for any subaward, at any tier), the DOJ funding announcement (solicitation), or an associated federal statute -- that a purpose of some or all of the activities to be carried out under the award (whether by the recipient, or a subrecipient at any tier) is to benefit a set of individuals under 18 years of age.

The recipient, and any subrecipient at any tier, must make determinations of suitability before certain individuals may interact with participating minors. This requirement applies regardless of an individual's employment status.

The details of this requirement are posted on the OJP web site at https://ojp.gov/funding/Explore/Interact-Minors.htm (Award condition: Determination of suitability required, in advance, for certain individuals who may interact with participating minors), and are incorporated by reference here.

15

Requirement to disclose whether recipient is designated "high risk" by a federal grant-making agency outside of DOJ

If the recipient is designated "high risk" by a federal grant-making agency outside of DOJ, currently or at any time during the course of the period of performance under this award, the recipient must disclose that fact and certain related information to OJP by email at OJP.ComplianceReporting@ojp.usdoj.gov. For purposes of this disclosure, high risk includes any status under which a federal awarding agency provides additional oversight due to the recipient's past performance, or other programmatic or financial concerns with the recipient. The recipient's disclosure must include the following: 1. The federal awarding agency that currently designates the recipient high risk, 2. The date the recipient was designated high risk, 3. The high-risk point of contact at that federal awarding agency (name, phone number, and email address), and 4. The reasons for the high-risk status, as set out by the federal awarding agency.

16

Compliance with DOJ Grants Financial Guide

References to the DOJ Grants Financial Guide are to the DOJ Grants Financial Guide as posted on the OJP website (currently, the "DOJ Grants Financial Guide" available at https://ojp.gov/financialguide/DOJ/index.htm), including any updated version that may be posted during the period of performance. The recipient agrees to comply with the DOJ Grants Financial Guide.

17

Encouragement of policies to ban text messaging while driving

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), DOJ encourages recipients and subrecipients ("subgrantees") to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

18

Compliance with general appropriations-law restrictions on the use of federal funds (FY 2021)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes. Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act,

2021, are set out at https://ojp.gov/funding/Explore/FY21AppropriationsRestrictions.htm, and are incorporated by reference here.

Should a question arise as to whether a particular use of federal funds by a recipient (or a subrecipient) would or might fall within the scope of an appropriations-law restriction, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

19

Potential imposition of additional requirements

The recipient agrees to comply with any additional requirements that may be imposed by the DOJ awarding agency (OJP or OVW, as appropriate) during the period of performance for this award, if the recipient is designated as "high-risk" for purposes of the DOJ high-risk grantee list.

20

Employment eligibility verification for hiring under the award

1. The recipient (and any subrecipient at any tier) must--

A. Ensure that, as part of the hiring process for any position within the United States that is or will be funded (in whole or in part) with award funds, the recipient (or any subrecipient) properly verifies the employment eligibility of the individual who is being hired, consistent with the provisions of 8 U.S.C. 1324a(a)(1).

B. Notify all persons associated with the recipient (or any subrecipient) who are or will be involved in activities under this award of both--

(1) this award requirement for verification of employment eligibility, and

(2) the associated provisions in 8 U.S.C. 1324a(a)(1) that, generally speaking, make it unlawful, in the United States, to hire (or recruit for employment) certain aliens.

C. Provide training (to the extent necessary) to those persons required by this condition to be notified of the award requirement for employment eligibility verification and of the associated provisions of 8 U.S.C. 1324a(a)(1).

D. As part of the recordkeeping for the award (including pursuant to the Part 200 Uniform Requirements), maintain records of all employment eligibility verifications pertinent to compliance with this award condition in accordance with Form I-9 record retention requirements, as well as records of all pertinent notifications and trainings.

2. Monitoring

The recipient's monitoring responsibilities include monitoring of subrecipient compliance with this condition.

3. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions

designed to ensure compliance with this condition.

4. Rules of construction

A. Staff involved in the hiring process

For purposes of this condition, persons "who are or will be involved in activities under this award" specifically includes (without limitation) any and all recipient (or any subrecipient) officials or other staff who are or will be involved in the hiring process with respect to a position that is or will be funded (in whole or in part) with award funds.

B. Employment eligibility confirmation with E-Verify

For purposes of satisfying the requirement of this condition regarding verification of employment eligibility, the recipient (or any subrecipient) may choose to participate in, and use, E-Verify (www.e-verify.gov), provided an appropriate person authorized to act on behalf of the recipient (or subrecipient) uses E-Verify (and follows the proper E-Verify procedures, including in the event of a "Tentative Nonconfirmation" or a "Final Nonconfirmation") to confirm employment eligibility for each hiring for a position in the United States that is or will be funded (in whole or in part) with award funds.

C. "United States" specifically includes the District of Columbia, Puerto Rico, Guam, the Virgin Islands of the United States, and the Commonwealth of the Northern Mariana Islands.

D. Nothing in this condition shall be understood to authorize or require any recipient, any subrecipient at any tier, or any person or other entity, to violate any federal law, including any applicable civil rights or nondiscrimination law.

E. Nothing in this condition, including in paragraph 4.B., shall be understood to relieve any recipient, any subrecipient at any tier, or any person or other entity, of any obligation otherwise imposed by law, including 8 U.S.C. 1324a(a)(1).

Questions about E-Verify should be directed to DHS. For more information about E-Verify visit the E-Verify website (https://www.e-verify.gov/) or email E-Verify at E-Verify@dhs.gov. E-Verify employer agents can email E-Verify at E-VerifyEmployerAgent@dhs.gov.

Questions about the meaning or scope of this condition should be directed to OJP, before award acceptance.

21

Restrictions and certifications regarding non-disclosure agreements and related matters

No recipient or subrecipient ("subgrantee") under this award, or entity that receives a procurement contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified

information.

1. In accepting this award, the recipient--

a. represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

b. certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

2. If the recipient does or is authorized under this award to make subawards ("subgrants"), procurement contracts, or both--

a. it represents that--

(1) it has determined that no other entity that the recipient's application proposes may or will receive award funds (whether through a subaward ("subgrant"), procurement contract, or subcontract under a procurement contract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

(2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and

b. it certifies that, if it learns or is notified that any subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

22

Reclassification of various statutory provisions to a new Title 34 of the United States Code

On September 1, 2017, various statutory provisions previously codified elsewhere in the U.S. Code were editorially reclassified (that is, moved and renumbered) to a new Title 34, entitled "Crime Control and Law Enforcement." The reclassification encompassed a number of statutory provisions pertinent to OJP awards (that is, OJP grants and cooperative agreements), including many provisions previously codified in Title 42 of the U.S. Code.

Effective as of September 1, 2017, any reference in this award document to a statutory provision that has been reclassified to the new Title 34 of the U.S. Code is to be read as a reference to that statutory provision as reclassified to Title 34. This rule of construction specifically includes references set out in award conditions, references set out in material

incorporated by reference through award conditions, and references set out in other award requirements.

23

OJP Training Guiding Principles

Any training or training materials that the recipient -- or any subrecipient ("subgrantee") at any tier -- develops or delivers with OJP award funds must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at https://ojp.gov/funding/Implement/TrainingPrinciplesForGrantees-Subgrantees.htm.

24

All subawards ("subgrants") must have specific federal authorization

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements for authorization of any subaward. This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a "subaward" (and therefore does not consider a procurement "contract").

The details of the requirement for authorization of any subaward are posted on the OJP web site at https://ojp.gov/funding/Explore/SubawardAuthorization.htm (Award condition: All subawards ("subgrants") must have specific federal authorization), and are incorporated by reference here.

25

Requirements related to System for Award Management and Universal Identifier Requirements

The recipient must comply with applicable requirements regarding the System for Award Management (SAM), currently accessible at https://www.sam.gov/. This includes applicable requirements regarding registration with SAM, as well as maintaining the currency of information in SAM.

The recipient also must comply with applicable restrictions on subawards ("subgrants") to first-tier subrecipients (first-tier "subgrantees"), including restrictions on subawards to entities that do not acquire and provide (to the recipient) the unique entity identifier required for SAM registration.

The details of the recipient's obligations related to SAM and to unique entity identifiers are posted on the OJP web site at https://ojp.gov/funding/Explore/SAM.htm (Award condition: System for Award Management (SAM) and Universal Identifier Requirements), and are incorporated by reference here.

This condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

26

Restrictions on "lobbying"

In general, as a matter of federal law, federal funds awarded by OJP may not be used by the recipient, or any subrecipient ("subgrantee") at any tier, either directly or indirectly, to support or oppose the enactment, repeal, modification, or adoption of any law, regulation, or policy, at any level of government. See 18 U.S.C. 1913. (There may be exceptions if an applicable federal statute specifically authorizes certain activities that otherwise would be barred by law.)

Another federal law generally prohibits federal funds awarded by OJP from being used by the recipient, or any subrecipient at any tier, to pay any person to influence (or attempt to influence) a federal agency, a Member of Congress, or Congress (or an official or employee of any of them) with respect to the awarding of a federal grant or cooperative agreement, subgrant, contract, subcontract, or loan, or with respect to actions such as renewing, extending, or modifying any such award. See 31 U.S.C. 1352. Certain exceptions to this law apply, including an exception that applies to Indian tribes and tribal organizations.

Should any question arise as to whether a particular use of federal funds by a recipient (or subrecipient) would or might fall within the scope of these prohibitions, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

27

Specific post-award approval required to use a noncompetitive approach in any procurement contract that would exceed $250,000

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements to obtain specific advance approval to use a noncompetitive approach in any procurement contract that would exceed the Simplified Acquisition Threshold (currently, $250,000). This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a procurement "contract" (and therefore does not consider a subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP web site at https://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm (Award condition: Specific post-award approval required to use a noncompetitive approach in a procurement contract (if contract would exceed $250,000)), and are incorporated by reference here.

28

Requirements pertaining to prohibited conduct related to trafficking in persons (including reporting requirements and OJP authority to terminate award)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of recipients, subrecipients ("subgrantees"), or individuals defined (for purposes of this condition) as "employees" of the recipient or of any subrecipient.

The details of the recipient's obligations related to prohibited conduct related to trafficking in persons are posted on the OJP web site at https://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm (Award condition: Prohibited conduct by recipients and subrecipients related to trafficking in persons (including reporting requirements and OJP authority to terminate award)), and are incorporated by reference here.

29

Requirement to report potentially duplicative funding

If the recipient currently has other active awards of federal funds, or if the recipient receives any other award of federal funds during the period of performance for this award, the recipient promptly must determine whether funds from any of those other federal awards have been, are being, or are to be used (in whole or in part) for one or more of the identical cost items for which funds are provided under this award. If so, the recipient must promptly notify the DOJ awarding agency (OJP or OVW, as appropriate) in writing of the potential duplication, and, if so requested by the DOJ awarding agency, must seek a budget-modification or change-of-project-scope Grant Award Modification (GAM) to eliminate any inappropriate duplication of funding.

30

Reporting potential fraud, waste, and abuse, and similar misconduct

The recipient, and any subrecipients ("subgrantees") at any tier, must promptly refer to the DOJ Office of the Inspector General (OIG) any credible evidence that a principal, employee, agent, subrecipient, contractor, subcontractor, or other person has, in connection with funds under this award-- (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct.

Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by--(1) online submission accessible via the OIG webpage at https://oig.justice.gov/hotline/contact-grants.htm (select "Submit Report Online"); (2) mail directed to: U.S. Department of Justice, Office of the Inspector General, Investigations Division, ATTN: Grantee Reporting, 950 Pennsylvania Ave., NW, Washington, DC 20530; and/or (3) by facsimile directed to the DOJ OIG Investigations Division (Attn: Grantee Reporting) at (202) 616-9881 (fax).

Additional information is available from the DOJ OIG website at https://oig.justice.gov/hotline.

31

The recipient understands that, in accepting this award, the Authorized Representative declares and certifies, among other things, that he or she possesses the requisite legal authority to accept the award on behalf of the recipient entity and, in so doing, accepts (or adopts) all material requirements that relate to conduct throughout the period of performance under this award. The recipient further understands, and agrees, that it will not assign anyone to the role of Authorized Representative during the period of performance under the award without first ensuring that the individual has the requisite legal authority.

32

The recipient agrees to submit to BJA for review and approval any curricula, training materials, proposed publications, reports, or any other written materials that will be published, including web-based materials and web site content, through funds from this grant at least thirty (30) working days prior to the targeted dissemination date. Any written, visual, or audio publications, with the exception of press releases, whether published at the grantee's or government's expense, shall contain the following statements: "This project was supported by Grant No. <AWARD_NUMBER> awarded by the Bureau of Justice Assistance. The Bureau of

Justice Assistance is a component of the Department of Justice's Office of Justice Programs, which also includes the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, the Office for Victims of Crime, and the SMART Office. Points of view or opinions in this document are those of the author and do not necessarily represent the official position or policies of the U.S. Department of Justice." The current edition of the DOJ Grants Financial Guide provides guidance on allowable printing and publication activities.

33

The recipient agrees to comply with OJP grant monitoring guidelines, protocols, and procedures, and to cooperate with BJA and OCFO on all grant monitoring requests, including requests related to desk reviews, enhanced programmatic desk reviews, and/or site visits. The recipient agrees to provide to BJA and OCFO all documentation necessary to complete monitoring tasks, including documentation related to any subawards made under this award. Further, the recipient agrees to abide by reasonable deadlines set by BJA and OCFO for providing the requested documents. Failure to cooperate with BJA's/OCFO's grant monitoring activities may result in sanctions affecting the recipient's DOJ awards, including, but not limited to: withholdings and/or other restrictions on the recipient's access to grant funds; referral to the Office of the Inspector General for audit review; designation of the recipient as a DOJ High Risk grantee; or termination of an award(s).

34

The recipient agrees to cooperate with any assessments, national evaluation efforts, or information or data collection requests, including, but not limited to, the provision of any information required for the assessment or evaluation of any activities within this project.

35

Justification of consultant rate

Approval of this award does not indicate approval of any consultant rate in excess of $650 per day. A detailed justification must be submitted to and approved by the OJP program office prior to obligation or expenditure of such funds.

36

Recipient understands and agrees that it must submit quarterly Federal Financial Reports (SF-425) and semi-annual performance reports through JustGrants (justgrants.usdoj.gov), and that it must submit quarterly performance metrics reports through BJA's Performance Measurement Tool (PMT) website (https://bjapmt.ojp.gov/). For more detailed information on reporting and other requirements, refer to BJA's website. Failure to submit required reports by established deadlines may result in the freezing of grant funds and High Risk designation.

37

The grantee agrees to comply with the applicable requirements of 28 C.F.R. Part 38, the Department of Justice regulation governing "Equal Treatment for Faith Based Organizations" (the "Equal Treatment Regulation"). The Equal Treatment Regulation provides in part that Department of Justice grant awards of direct funding may not be used to fund any inherently religious activities, such as worship, religious instruction, or proselytization. Recipients of direct grants may still engage in inherently religious activities, but such activities must be separate in time or place from the Department of Justice funded program, and participation in such

activities by individuals receiving services from the grantee or a sub-grantee must be voluntary. The Equal Treatment Regulation also makes clear that organizations participating in programs directly funded by the Department of Justice are not permitted to discriminate in the provision of services on the basis of a beneficiary's religion. Notwithstanding any other term or condition of this award, faith-based organizations may, in some circumstances, consider religion as a basis for employment. See http://www.ojp.gov/about/ocr/equal_fbo.htm.

38

Limit on use of grant funds for grantees' employees' salaries

With respect to this award, federal funds may not be used to pay cash compensation (salary plus bonuses) to any employee of the award recipient at a rate that exceeds 110% of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. (An award recipient may compensate an employee at a higher rate, provided the amount in excess of this compensation limitation is paid with non-federal funds.)

This limitation on compensation rates allowable under this award may be waived on an individual basis at the discretion of the OJP official indicated in the program announcement under which this award is made.

39

FFATA reporting: Subawards and executive compensation

The recipient must comply with applicable requirements to report first-tier subawards ("subgrants") of $30,000 or more and, in certain circumstances, to report the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients (first-tier "subgrantees") of award funds. The details of recipient obligations, which derive from the Federal Funding Accountability and Transparency Act of 2006 (FFATA), are posted on the OJP web site at https://ojp.gov/funding/Explore/FFATA.htm (Award condition: Reporting Subawards and Executive Compensation), and are incorporated by reference here.

This condition, including its reporting requirement, does not apply to-- (1) an award of less than $30,000, or (2) an award made to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

40

Regarding medication-assisted treatment (MAT), the award recipient understands and agrees to the following: 1) all clients in a BJA-funded drug court have a right to access MAT under the care and prescription of a physician to the extent MAT is clinically indicated; 2) BJA-funded drug courts must not deny any eligible client enrollment to the drug court program because of their use of FDA-approved medications for the treatment of substance abuse; 3) MAT must be permitted to be continued for as long as the prescriber determines that the FDA-approved medication is clinically beneficial; 4) while under no circumstances can a BJA-funded drug court program deny access to MAT under the care and prescription of a physician when it is clinically indicated, a judge retains judicial discretion to mitigate/reduce the risk of abuse, misuse, or diversion of these medications; and 5) federal funds shall not be used to support activities that violate the Controlled Substances Act, 21 U.S.C. 801-904.

41

All BJA-funded adult drug courts must be operated based on the 10 key components for drug courts, which are found in BJA's and National Association of Drug Court Professional's (NADCP) publication: Defining Drug Courts: The Key Components at https://www.ncjrs.gov/pdffiles1/bja/205621.pdf. During the grant period of performance, if BJA concludes that a funded drug court is not conforming to the 10 key components, it retains the right to place the award recipient on a corrective action plan to bring the drug court into conformance. Continued failure to maintain conformance to the key components may result in a hold placed on award funds or suspension/termination of the grant award agreement.

42

The recipient understands and agrees that no award or matching funds may be used to provide services for violent offenders as defined in 42 U.S.C. 3797u-2, a "violent offender" means a person who"(1) is charged with or convicted of an offense that is punishable by a term of imprisonment exceeding one year, during the course of which offense or conduct" (A) the person carried, possessed, or used a firearm or dangerous weapon; (B) there occurred the death of or serious bodily injury to any person; or (C) there occurred the use of force against the person of another, without regard to whether any of the circumstances described in subparagraph (A) or (B) is an element of the offense or conduct of which or for which the person is charged or convicted; or (2) has 1 or more prior convictions for a felony crime of violence involving the use or attempted use of force against a person with the intent to cause death or serious bodily harm.

43

Recipient may not obligate, expend, or drawdown funds until the Bureau of Justice Assistance, Office of Justice Programs has reviewed and approved the Budget Narrative portion of the application and has issued an Award Condition Modification (ACM) informing the recipient of the approval.

44

Conditional Clearance

The recipient may not obligate, expend or draw down funds until the Office of the Chief Financial Officer (OCFO) has approved the budget and budget narrative and an Award Condition Modification (ACM) has been issued to remove this award condition.

45

Recipient may not obligate, expend or drawdown funds until the Bureau of Justice Assistance, Office of Justice Programs has received and approved the required application attachment(s) and has issued an Award Condition Modification (ACM) releasing this award condition.

46

Withholding of funds: Research and evaluation independence and integrity

The recipient may not obligate, expend, or draw down any award funds until: (1) it has provided to the grant manager for this OJP award information regarding research and evaluation independence and integrity in accordance with the detailed instructions in the program solicitation, (2) OJP has completed its review of the information provided and of any

supplemental information it may request, (3) the recipient has made (or agreed to) any adjustments to the award (including as to amount) that OJP may require to prevent, eliminate, mitigate, or otherwise adequately address any actual or apparent personal or financial conflict of interest on the part of the investigators or other staff/consultants engaged in the research/evaluation or organizational conflict of interest on the part of the recipient entity, and (4) Award Condition Modification (ACM) has been issued to remove this condition.

If this award is a "discretionary" award (i.e., not an award under a statutory "formula" program), the recipient understands and agrees that if it does not provide an adequate research and evaluation independence and integrity submission (as determined by OJP), or if it fails to implement (as determined by OJP) any safeguard included in its submission or required by OJP, this award may be terminated (without right of appeal), upon thirty (30) calendar days advance written notice by OJP.

☐

I have read and understand the information presented in this section of the Federal Award Instrument.

Award Acceptance

Declaration and Certification to the U.S. Department of Justice as to Acceptance

By checking the declaration and certification box below, I--

A. Declare to the U.S. Department of Justice (DOJ), under penalty of perjury, that I have authority to make this declaration and certification on behalf of the applicant.

B. Certify to DOJ, under penalty of perjury, on behalf of myself and the applicant, to the best of my knowledge and belief, that the following are true as of the date of this award acceptance: (1) I have conducted or there was conducted (including by applicant's legal counsel as appropriate and made available to me) a diligent review of all terms and conditions of, and all supporting materials submitted in connection with, this award, including any assurances and certifications (including anything submitted in connection therewith by a person on behalf of the applicant before, after, or at the time of the application submission and any materials that accompany this acceptance and certification); and (2) I have the legal authority to accept this award on behalf of the applicant.

C. Accept this award on behalf of the applicant.

D. Declare the following to DOJ, under penalty of perjury, on behalf of myself and the applicant: (1) I understand that, in taking (or not taking) any action pursuant to this declaration and certification, DOJ will rely upon this declaration and certification as a material representation; and (2) I understand that any materially false, fictitious,

or fraudulent information or statement in this declaration and certification (or concealment or omission of a material fact as to either) may be the subject of criminal prosecution (including under 18 U.S.C. §§ 1001 and/or 1621, and/or 34 U.S.C. §§ 10271-10273), and also may subject me and the applicant to civil penalties and administrative remedies under the federal False Claims Act (including under 31 U.S.C. §§ 3729-3730 and/or §§ 3801-3812) or otherwise.

Agency Approval

Title of Approving Official	Name of Approving Official	Signed Date And Time
Principal Deputy Assistant Attorney General	Amy Solomon	12/16/21 11:00 AM

Authorized Representative

Entity Acceptance

Title of Authorized Entity Official

——

Signed Date And Time

——

DocuSign Envelope ID: 83B72020-3078-438A-89D9-AB021029A33C

SIGNATURE PAGE
FOR
GRANT NO. BJA Discretionary Grant for GS Recovery Court- DUI Court

IN WITNESS WHEREOF, the parties have by their duly authorized representatives set their signatures.

METROPOLITAN GOVERNMENT OF NASHVILLE AND DAVIDSON COUNTY

Kyle Sowell
_____ February 4, 2022
General Sessions Recovery Court Date
Kyle Sowell, Court Administrator

APPROVED AS TO AVAILABILITY OF FUNDS:

DocuSigned by:
Kelly Flannery/mjw
_____ 2/11/2022
Department of Finance Date

APPROVED AS TO RISK AND INSURANCE:

DocuSigned by:
Balogun Cobb
_____ 2/11/2022
Director of Insurance Date

APPROVED AS TO FORM AND LEGALITY:

DocuSigned by:
Micki Ekle
_____ 2/11/2022
Metropolitan Attorney Date

_____ _____
John Cooper Date
Metropolitan Mayor

ATTEST:

_____ _____
Metropolitan Clerk Date

DocuSign Envelope ID: 83B72020-3078-438A-89D9-AB021029A33C

Resolution No. RS2021-897

A resolution approving an application for a General Sessions DUI Court grant from the U. S. Department of Justice to the Metropolitan Government, acting by and through the Davidson County General Sessions Court, to fund and support the operations of a misdemeanor DUI court to assist in acquiring support services to minimize the risk of participants reoffending.

WHEREAS, the U. S. Department of Justice is accepting applications for a General Sessions DUI Court grant with an award of $301,539.86 with no cash match required; and,

WHEREAS, the Metropolitan Government, acting by and through the General Sessions Court, is eligible to participate in this grant program; and,

WHEREAS, it is to the benefit of the citizens of The Metropolitan Government of Nashville and Davidson County that this grant application be approved and submitted.

NOW, THEREFORE BE IT RESOLVED BY THE COUNCIL OF THE METROPOLITAN GOVERNMENT OF NASHVILLE AND DAVIDSON COUNTY:

Section 1. That the Metropolitan Government's application for a General Sessions DUI Court grant with an award of $301,539.86, a copy of which is attached hereto and incorporated herein, is hereby approved, and the Davidson County General Sessions Court is authorized to submit said application to the U. S. Department of Justice.

Section 2. That this resolution shall take effect from and after its adoption, the welfare of The Metropolitan Government of Nashville and Davidson County requiring it.

APPROVED AS TO AVAILABILITY OF FUNDS:

DocuSigned by:
Kevin Crumbo/ta
Kevin Crumbo, Director
Department of Finance

APPROVED AS TO FORM AND LEGALITY:

DocuSigned by:
Macy Amos
Assistant Metropolitan Attorney

INTRODUCED BY:

Kyonzté Toombs

Zulfat Suara

Member(s) of Council

DocuSign Envelope ID: 83B72020-3078-438A-89D9-AB021029A33C

GRANT APPLICATION SUMMARY SHEET

Grant Name: General Session DUI Court 21-25

Department: GENERAL SESSIONS CT

Grantor: U.S. DEPARTMENT OF JUSTICE

Pass-Through Grantor (If applicable):

Total Applied For: $301,539.86

Metro Cash Match: $0.00

Department Contact: Lauren Berens

862-8380

Status: NEW

Program Description:
Applying for BJA 48 month grant for the implementation of a new General Sessions DUI Court under the Recovery Court Department. Funding will be used to supplement drug testing costs, operation costs, community building events, family focused support, bus passes, emergency needs following release from jail, rent support, therapy, medications and treatment services as clinically assessed.

Plan for continuation of services upon grant expiration:
Request funding from Metro for supplemental support.

APPROVED AS TO AVAILABILITY OF FUNDS:

DocuSigned by: Kevin Crumbo/tlo 4/14/2021
Director of Finance **Date**

APPROVED AS TO FORM AND LEGALITY:

DocuSigned by: Nicki Eke 4/14/2021
Metropolitan Attorney **Date**

DS TE

APPROVED AS TO RISK AND INSURANCE:

DocuSigned by: Balogun Cobb 4/14/2021
Director of Risk Management Services **Date**

DocuSigned by: John Cooper 4/14/2021
Metropolitan Mayor **Date**

(The application is contingent upon the approval by the Metropolitan Council.)

DS

5214
Budget Analyst Approval

DS U

Grants Tracking Form

Part One

Pre-Application ○ Application ◉ Award Acceptance ○ Contract Amendment ○

Department	Dept. No.	Contact	Phone	Fax
GENERAL SESSIONS CT	027	Lauren Berens	862-8380	214-3609

Grant Name:	General Session DUI Court 21-25		
Grantor:	U.S. DEPARTMENT OF JUSTICE	**Other:**	
Grant Period From:	10/01/21	(applications only) **Anticipated Application Date:**	04/14/21
Grant Period To:	09/30/25	(applications only) **Application Deadline:**	04/14/21
Funding Type:	FED DIRECT	**Multi-Department Grant** ☐ → **If yes, list below.**	
Pass-Thru:		**Outside Consultant Project:** ☐	
Award Type:	COMPETITIVE	**Total Award:**	$301,539.86
Status:	NEW	**Metro Cash Match:**	$0.00
Metro Category:	New Initiative	**Metro In-Kind Match:**	$75,384.97
CFDA #		**Is Council approval required?**	☑
Project Description:		**Applic. Submitted Electronically?**	☐

Applying for BJA 48 month grant for the implementation of a new General Sessions DUI Court under the Recovery Court Department. Funding will be used to supplement drug testing costs, operation costs, community building events, family focused support, bus passes, emergency needs following release from jail, rent support, therapy, medications and treatment services as clinically assessed.

Plan for continuation of service after expiration of grant/Budgetary Impact:

Request funding from Metro for supplemental support.

How is Match Determined?

Fixed Amount of $		or	25.0%	**% of Grant**	**Other:** ☐

Explanation for "Other" means of determining match:

Match requirement will be met through the partner TN Recovery Foundation.

For this Metro FY, how much of the required local Metro cash match:

Is already in department budget?		**Fund**		**Business Unit**
Is not budgeted?		**Proposed Source of Match:**	TN Recovery Foundation	
(Indicate Match Amount & Source for Remaining Grant Years in Budget Below)				

Other:

Number of FTEs the grant will fund:	0.00	**Actual number of positions added:**	0.00	
Departmental Indirect Cost Rate	25.00%	**Indirect Cost of Grant to Metro:**	$94,231.21	
*Indirect Costs allowed? ◉ Yes ○ No % Allow.	25.00%	**Ind. Cost Requested from Grantor:**	$94,231.21	**in budget**

***(If "No", please attach documentation from the grantor that indirect costs are not allowable. See instructions)**

Draw down allowable? ☐

Metro or Community-based Partners:

Part Two

Grant Budget

Budget Year	Metro Fiscal Year	Federal Grantor	State Grantor	Other Grantor	Local Match Cash	Match Source (Fund, BU)	Local Match In-Kind	Total Grant Each Year	Indirect Cost to Metro	Ind. Cost Neg. from Grantor
Yr 1	FY21	$54,614.36					$13,653.59	$68,267.95	$17,066.99	$17,066.99
Yr 2	FY22	$82,308.50					$20,577.13	$102,885.63	$25,721.41	$25,721.41
Yr 3	FY23	$82,308.50					$20,577.13	$102,885.63	$25,721.41	$25,721.41
Yr 4	FY24	$82,308.50					$20,577.13	$102,885.63	$25,721.41	$25,721.41
Yr 5	FY__									
Total		$301,539.86	$0.00	$0.00	$0.00		$75,384.97	$376,924.83	$94,231.21	$94,231.21

Date Awarded:	10/01/21	**Tot. Awarded:**		**Contract#:**	NA
(or) **Date Denied:**		**Reason:**			
(or) **Date Withdrawn:**		**Reason:**			

Contact: trinity.weathersby@nashville.gov
vaughn.wilson@nashville.gov

Rev. 5/13/13
5214

GCP Rec'd 04/13/21

GCP Approved 04/13/21

VW

OMB Number: 4040-0004
Expiration Date: 12/31/2022

Application for Federal Assistance SF-424

*** 1. Type of Submission:**
- [ ] Preapplication
- [x] Application
- [ ] Changed/Corrected Application

*** 2. Type of Application:**
- [x] New
- [ ] Continuation
- [ ] Revision

*** If Revision, select appropriate letter(s):**

*** Other (Specify):**

*** 3. Date Received:** 03/30/2021

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier: Tennessee

8. APPLICANT INFORMATION:

*** a. Legal Name:** Metropolitan Government on Nashville and Davidson County

*** b. Employer/Taxpayer Identification Number (EIN/TIN):** 2-620694743-014-4

*** c. Organizational DUNS:** 0782176680000

d. Address:

*** Street1:** 408 2nd Ave N
Street2: Recovery Court
*** City:** Nashville
County/Parish: Tennessee
*** State:** TN: Tennessee
Province:
*** Country:** USA: UNITED STATES
*** Zip / Postal Code:** 37201-1201

e. Organizational Unit:

Department Name: General Sessions

Division Name: Recovery Court

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Mrs.
*** First Name:** Lauren
Middle Name:
*** Last Name:** Berens
Suffix:

Title: Program Manager

Organizational Affiliation: GS Recovery Court

*** Telephone Number:** 615-862-8380
Fax Number: 615-214-3609

*** Email:** LaurenBerens@jisnashville.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

Bureau of Justice Assistance

11. Catalog of Federal Domestic Assistance Number:

16.585

CFDA Title:

Drug Court Discretionary Grant Program

*** 12. Funding Opportunity Number:**

O-BJA-2021-46003

* Title:

BJA FY 21 Adult Drug Court and Veterans Treatment Court Discretionary Grant Program

13. Competition Identification Number:

C-BJA-2021-00019-PROD

Title:

3

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment | Delete Attachment | View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Davidson County GS Recovery Court is applying for funds to begin and support the operations of a DUI court.

Attach supporting documents as specified in agency instructions.

Add Attachments | Delete Attachments | View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant: TN-005
* b. Program/Project: TN-005

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment | Delete Attachment | View Attachment

17. Proposed Project:

* a. Start Date: 10/01/2021
* b. End Date: 09/30/2025

18. Estimated Funding ($):

* a. Federal	400,000.00
* b. Applicant	0.00
* c. State	0.00
* d. Local	0.00
* e. Other	100,000.00
* f. Program Income	0.00
* g. TOTAL	500,000.00

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

☐ a. This application was made available to the State under the Executive Order 12372 Process for review on ____.

☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.

☒ c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

☐ Yes ☒ No

If "Yes", provide explanation and attach

Add Attachment | Delete Attachment | View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: Mrs.
* First Name: Lauren
Middle Name:
* Last Name: Berens
Suffix:

* Title: Program Manager

* Telephone Number: 615-862-8380
Fax Number: 615-214-3609

* Email: LaurenBerens@jisnashville.gov

* Signature of Authorized Representative: Lauren Berens
* Date Signed: 03/30/2021

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Approved by OMB
0348-0046

Disclosure of Lobbying Activities

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure)

1. Type of Federal Action: a. contract _B_ b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	**2. Status of Federal Action:** a. bid/offer/application _B_ b. initial award c. post-award	**3. Report Type:** a. initial filing _A__ b. material change **For material change only:** Year _____ quarter _____ Date of last report_____
4. Name and Address of Reporting Entity: _X_ Prime _____ Subawardee Tier_____, if Known: **Davidson County GS Recovery Court** **408 2nd Ave N** **Nashville, TN 37201-1201** **Congressional District, *if known*: TN-005**	**5. If Reporting Entity in No. 4 is Subawardee,** Enter Name and Address of Prime: **Congressional District, *if known*:**	
6. Federal Department/Agency: **US Department of Justice (DOJ)**	**7. Federal Program Name/Description:** **Drug Court Discretionary Grant Program** CFDA Number, *if applicable*: __16.585____	
8. Federal Action Number, *if known:* O-BJA-2021-46003	**9. Award Amount,** *if known:* **$**	
10. a. Name and Address of Lobbying Registrant *(if individual, last name, first name, MI):* N/A	**b. Individuals Performing Services** *(including address if different from No. 10a)* *(last name, first name, MI):* N/A	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.	**Signature:** Lauren Berens **Print Name: Lauren Berens** **Title: Program Manager** **Telephone No.: 615-862-8380 Date: 4/14/21**	
Federal Use Only	**Authorized for Local Reproduction** **Standard Form - LLL (Rev. 7-97)**	

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Davidson County Recovery Court, Adult Recovery Court Expansion-BJA-2021

Project Abstract

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This project proposal would launch a new misdemeanor DUI Court in Davidson County. The program will connect participants to evidence-based and trauma-informed substance use and co-occurring treatment for high-risk and high-need individuals charged with any misdemeanor DUI offense in Davidson County. Upon acceptance into the DUI court, participants will complete a variety of clinical assessments and will be referred to appropriate service providers both in and around Nashville. All clients will receive assistance in acquiring supportive services to minimize their risk of reoffending and prepare them for life beyond DUI Court. The residents of the Metropolitan Nashville area will benefit from the program because of increased public safety, reduced rates of substance use, and cost savings incurred through more efficient due process with persons charged with DUI. Participants will address the root causes of their behavior, attend rigorous rehabilitative treatment, and be mandated to acquire employment to pay court fees, restitution, and contribute to the betterment of society.

Category 3 funding is being requested, and the program will serve participants both pre- and post-adjudication out of General Sessions Court and post-adjudication from State Trial courts. The court is requesting the total allowable amount of $301539.86 in funding for the duration of the grant. The total number of participants to be served throughout the life of the grant period is 350 participants. The minimum length of the program will be 11 months and 29 days, with a proposed maximum of 18 months. The target population includes persons who have been charged with a misdemeanor DUI (without victim injury), have been identified as high-risk for committing a new offense and high-need for substance use disorder treatment, and who voluntarily enter DUI Court. Participants in the DUI Court will have access to Medication-Assisted Therapy (MAT) through local community partners and will receive clinical assessments for necessary service referrals. The Court will integrate National Center for DWI Courts 10

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Guiding Principles. We will including a multidisciplinary team approach with judicial leadership. Funding will be used as allowed to support training, direct services for participants, housing, drug and alcohol testing and therapeutic services as assessed including a heavy integration of Medication Assisted Treatment. No other funding services are currently being leveraged by General Sessions in conjunction with this grant, and the applicant jurisdiction has not previously received a drug court grant from OJP.

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Proposal Narrative

Description of Issue

Davidson County is an urban county spanning approximately 500 square miles with an estimated population of 694,144 individuals (U.S. Census, 2019). Sixty-five percent of the population is white, 27% is Black or African American, and 10% is Latinex (U.S. Census, 2019). Davidson County has increased its population at a rate of 10.8% from 2010 to 2019 (U.S. Census, 2019). This increase has resulted in higher demands placed on the corrections and judicial systems.

It costs approximately $86.25 per day to house a person incarcerated in one of Tennessee's correctional facilities, and as of December 31, 2020, 18.1% of those currently incarcerated in TN were charged with drug offenses (TDOC, 2021). Currently, the average daily population (ADP) for Davidson County Correctional Facilities is 1,558 (Nashville.gov, 2021). Diverting individuals from corrections facilities (treatment as usual) to treatment courts would reduce the cost The most recent recidivism rate reported for all persons incarcerated in either jail or prison in the state of TN was 47%, measured over the three years post-release (TN Open Portal Data, 2021). The Davidson County General Sessions Recovery Court (GSRC) is an alternative to incarceration, enabling qualifying individuals to access treatment for substance use disorder (SUD) and mental health deficits, while participating in a rigorous, evidence-based, and trauma-informed level of community supervision.

Access to the GSRC is limited due to funding. To expand the reach of the program, thereby reducing costs to taxpayers and recidivism rates among those involved with the criminal-justice system, funding is being requested to launch a new DUI Court.

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Nature and scope of substance abuse problem/crime patterns: In 2020, Davidson County reported 619 deaths resulting from suspected drug overdoses, an increase of 32% compared to 2019 (Opioid/Overdose Response & Reduction Program, 2021). There were 2,566 reported nonfatal overdose-related Emergency Department (ED) visits (a 30% increase from 2019), and 5,819 suspected drug overdoses requiring an Emergency Medical Services (EMS) response (31% increase from 2019). Forty-nine percent of fatalities were among those aged 25-44, 37% of overdose-related ED visits were among those aged 25-34, and 33% of all suspected overdoses occurred among those 25-34 years of age (Opioid/Overdose Response & Reduction Program, 2021).

Data from the Tennessee Department of Corrections (2020) during the months of July 2019 to June 2020, identified 1,535 diagnosed cases of an inmate with a substance use disorder, 5,069 persons diagnosed with a serious or persistent mental illness, and 3,816 persons with another DSM diagnosis. More than half of TN Department of Corrections inmates (52.6%) had a DSM diagnosable mental health issue (TN Department of Corrections, 2020).

Local data from the *Davidson County Office of Criminal Justice Planning* reports that in 2020, there were 1,421 physical arrests of individuals charged with DUI. Among those arrested or charged with a state citation between 2015-2019 in Davidson County, the largest growth in arrest trends included theft of merchandise (less than $1,000), theft of property (less than $1,000), theft of a vehicle (less than $2500), and prostitution. Additionally, data from 2017 indicated that there were 4,217 burglaries, 19,214 larceny-thefts, and 2,604 motor vehicle threats in Davidson County that year. This was an increase of 2.4% and 49% in larceny-thefts and motor vehicle arrests, respectively. These are crimes consistent with persons having regular contacts

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with the criminal justice system due to substance use disorder, as persons will often use these means to purchase or barter for illicit substances or alcohol.

In 2020, the GSRC received referrals for 170 prospective clients. Of these, 49% were accepted, 17% were denied because they were not high risk and high need (as scored by the TN-RAS), 11% refused the program; 9% were denied due to previous violent offense charges; 5% were denied as a result of gang affiliation; 5% were ineligible or refused the program for other legal reasons; 3% were denied due to severe or persistent mental or physical health challenges; and 1% was not screened by their own volition. Thirty-nine percent of the total number of clients denied access to services and 17% of those accepted were clients charged with DUI. A specialized DUI Court utilizing the IDA tool may have expanded the reach of the program to better serve the overall criminally involved population in Davidson County, reducing additional driving-related offenses in 2021.

Problems with the current court response: The Davidson County GSRC currently serves all individuals assessed as being high-risk for reoffending and high need for substance use disorder treatment. Launching a DUI Court would provide the unique opportunity to increase public safety through the provision of rehabilitative services and deterrence for persons charged with impaired driving. This subset of the criminally-involved population has distinct criminogenic and treatment needs, and identifying potential participants who could benefit from a DUI Court would result in a reduction in property damages, personal injuries, and citizen deaths due to impaired driving incidents (NCDC, n.d.). The addition of a DUI Court will also result in the court's ability to serve a higher volume of clients and link them with evidence-based, trauma-informed substance use and mental health treatment.

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Target population/number served: The target population for the Davidson County DUI Court would be individuals charged and/or convicted with any DUI offense and identified through a valid and reliable instrument as being high risk and high need. In Davidson County, persons convicted of DUI 1st spend between 2 days and 11 months and 29 days in jail; DUI 2nd are sentenced from 45 days to 11 months and 29 days in jail; DUI 3rd may spend from 120 days to 11 months and 29 days in jail; and DUI 4th are charged with a class E felony and spend up to 1 year in jail (TN Department of Safety & Homeland Security, n.d.). Additionally, individuals have their licenses revoked for 1, 2, 6, or eight years, respectively, must participate in drug and alcohol treatment, pay fines up to $15,000, and pay any restitution owed (TN Dept. of Safety & Homeland Security, n.d.). Many clients charged with these offenses may lack the resources to reinstate their license but may continue to drive to attend treatment, classes, case management visits, drug screening, therapy or court hearings. Linking clients with a host of resources to help with license reinstatement and assist with transportation while in DUI Court (bus passes) could reduce the costs associated with persons driving without a license. In a study of three DWI courts in Georgia, 56% of 313 graduates compared with 39% of 232 persons in a comparison group who had also been charged with a DUI offense but received treatment as usual, had reinstated their licenses (Fell, Tippetts, & Langston2011).

The new DUI court would provide services to a maximum of 45 participants per each probation officer during the 48-month grant period. **Current planning efforts** were born out of the need to serve additional clients, as the capacity of Davidson County's current treatment courts has been exacerbated by the impact of the opioid epidemic in addition to rises in overdose cases, substance use disorder, and mental health crises stemming from the recent COVID pandemic. The GSRC has built strong partnerships with Medication-Assisted Therapy (MAT)

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providers, mental health community partners, the Public Defender's Office, the Tennessee Association of Criminal Defense Lawyers (TACDL), and the District Attorney's Office over the course of its history, and these partners have been included in the plans to develop a DUI court to meet the need of the community. Treatment partners and community stakeholders have received training on the NADCP ADC model and will receive additional training specific to the DUI court structure. The DUI Court will pilot the developed model in October of 2021 and be prepared to fully implement the court in January of 2022.

Mechanism to prioritize resources for target population: The Court's partner treatment agency, The Tennessee Center for Change (TCFC) will include Moral Reconation Therapy (MRT) and Cognitive Behavioral Therapy (CBT) group classes as part of each participant's case plan. MRT is a treatment modality used to decrease recidivism rates among populations of criminal offenders by increasing their moral reasoning (Moral Reconation Therapy, 2013). CBT is a therapeutic approach that assists individuals' in identifying challenging situations in their lives, increasing their awareness surrounding the thoughts, emotions, and beliefs that manifest when these situations arise, determining which of these thoughts, emotions, and beliefs are having a negative impact on their self-concept, and developing new patterns of thought that are more correct and helpful (Cognitive behavioral therapy, 2018). McMurran (2007), Quinn (2015), and Needham (2015) have conducted studies showing the efficacy this method provides in reducing recidivism among individuals charged with substance-related offenses, and Usher (2014) has shown its effectiveness in offenders from diverse backgrounds (Cognitive-behavioral therapy (CBT) for offenders, 2018).

Team Training: All members of the probation team have received training on the Adult Drug Court Model when hired. Additionally, trainings provided by NCIC, annual attendance at the

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NADCP conference and TARCP conference, along with stand-alone webinars and seminars provide continuing education to members of the court and treatment team. Other members of the multidisciplinary team who have received training on the treatment model include the presiding judge, treatment case managers, the clinical director, the team's District Attorney and Public Defender.

Immediate issues this grant funding will address: Participants entering the Court are often experiencing homelessness, severe substance use dependency, mental and/or physical health issues, and lack of financial resources including employment and transportation. Therefore, funding from this proposal would address rental assistance, drug testing costs, therapeutic needs relating to mental health issues and opioid, stimulant, and other substance use disorders, and other treatment needs for participants. One objective of this grant proposal is to create a diversified availability of funding so that individuals who might not otherwise seek treatment of their own volition or have the knowledge required to identify funding resources are linked with treatment for SUD. Grant funding would provide a means for these individuals to complete mental health evaluations to treat co-occurring mental health disorders, be linked with appropriate services for SUD, and assist with the costs of transitional living and drug testing.

Treatment services available: Clients of the DUI Court will be assessed by the clinical team at TCFC using the ASI to determine immediate treatment needs and develop an individualized treatment plan that identifies each client's unique needs. In this way, clients will be empowered to build a foundation they can call their own. Clients may be referred to inpatient treatment at local facilities utilizing state and federal funding to cover costs. Clients will also participate in IOP services and may be referred to mental health services when appropriate for individual therapy to determine the underlying causes and conditions of addiction. Clients may also access

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MAT services, which will be provided by community partners who agree to abide by the MAT policy as set forth by the court in conjunction with NADCP Best Practice guidelines for MAT. Clients who are assessed to be at greater risk of relapse greatly benefit from MAT through a reduction in rates of recurrence of use and longer periods of recovery. Additionally, Relapse Prevention classes are provided to help prevent the recurrence of use.

In 2017-2018, 224 participants entered the GSRC. Twelve participants have absconded from the program, with the potential to reenter the GSRC upon approval from the team once the outstanding warrant is served. Six participants were transferred to a different problem-solving court to best meet their treatment needs. Three clients were tragically abated by death, and one remains active in the program. The remaining 202 clients receiving services from GSRC in 2017 and 2018 graduated from the program at a rate of 44%, including 44 white males, 27 white females, 12 black males, and 6 black females. Of those who were terminated from the RC, 50 were white males, 30 were white females, 24 were white females, 7 were black females, one female identified race as Hispanic, and one participant's race being unknown. Painting the picture data.

Project Design and Implementation

Prompt entry and commencement of treatment: Once a client is deemed eligible for the DUI Court, voluntarily agrees to participate, and the Court Order is signed by the presiding Judge(s), DUI Court clients will begin community-based assessments and treatment within seven days of jail release or acceptance into the program. Clients who are currently incarcerated and in need of housing assistance or inpatient treatment are screened by the Behavioral Care Center (BCC), a mental health corrections facility located adjacent to the Downtown Detention Center (DDC) in Nashville that was created to divert clients with mild to moderate mental illness from

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jail to a therapeutic community. The BCC provides a unique benefit for participants in that they can begin receiving treatment for mental health and substance use disorders prior to their jail release. The BCC is affiliated with Mental Health Cooperative, a local mental health provider and community partner. While housed at the BCC, clients receive a mental health evaluation, attend individual and group therapy sessions, receive case management, and are prescribed medication when appropriate. DUI Court clients who are transferred to the BCC will be released from jail to the care of a residential facility or transitional living based upon the recommendation of the DUI Court's Clinical Director. The BCC also provides transportation for clients to inpatient facilities. When clients are released to the community, they are required to contact the treatment team within 24 hours of release from jail to schedule the ASI intake appointment with the clinical team.

Required Fees: Drug testing is the only required program fee. Vouchers will be provided to assist with drug screen panels while clients actively seek employment or reinstatement of their disability insurance. Clients will be made aware of the drug testing requirement during the screening process, prior to entry to the program.

MAT: The General Sessions DUI Court will accept participants who voluntarily elect Medication Assisted Treatment (MAT) as a provision of their treatment plan. MAT is covered through state and federal funding, Medicaid, private insurance, or private pay. Communication between the Recovery Court Team and the medical professionals prescribing medications must be authorized by the MAT participant prior to use. The DUI Court will work with credentialed MAT addiction specialists within the community to include but not limited to Buffalo Valley, Mending Hearts, Samaritan Recovery Community, and Mental Health Cooperative.

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Evidence-based treatment: The DUI Court will employ evidence-based strategies to assess clients for early intervention through use of a valid and reliable screening tool to determine program eligibility. Once clients are deemed eligible, voluntarily choose to participate in the program, and are admitted into the DUI Court, the screen will be shared with the treatment team to determine immediate needs for treatment. Clients will be required to contact treatment within 24 hours of release from jail or admittance into the program if on bond, to schedule their ASI intake appointment. The ASI is a reliable and valid tool utilized to assess the client's level of need for treatment and will be administered within five days following program entry. Following the ASI, participants may be referred to other substance abuse treatment providers should a specific need arise with the participant. After referrals have been completed and funding obtained, the clinical team will develop an individualized treatment plan based upon assessments and provide a constellation of treatment strategies developed to meet the specific needs of each client. Some referrals may include Seeking Safety, Relapse Prevention, and MAT referrals.

Addressing opioid, stimulant, and substance abuse reduction: The plan to provide treatment and services to address opioid, stimulant, and substance abuse reduction includes partnering with multiple MAT providers who have been awarded the Comprehensive Opioid, Stimulant, and Substance Abuse Program (COSSAP) grant in Davidson County. The GSRC Program Manager, Lauren Berens, who will be overseeing the launch of the new DUI Court is a member of the Overdose Fatality Review Panel and Task Force, a committee consisting of local DEA, TBI, Health Department, the Medical Examiner, case managers responsibility for hospital overdoses, the coroner's office, the District Attorney's Office, COSSAP Coordinator, and the Metro Specialized Investigation Unit. Partnering with these community stakeholders increases access to research, knowledge, and resources to better serve the Court's target population.

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Training: Team members will attend continuing education workshops and conferences annually to increase knowledge about best practice standards, including the annual national conference with NADCP, stand-alone seminars, webinars, NCDC trainings, and others.

Referrals: Each potential client's defense counsel will first seek approval from the District Attorney handling the case and then submit a referral to the DUI Court case manager. All referrals are vetted for any disqualifying prior convictions through the completion of an NCIC background check. The probation team will conduct the IDA **screening** tool to assess level of risk for reoffending (criminal history, peer associations, employment and financial status, status of interpersonal relationships) and level of need for treatment (drug involvement, co-occurring mental health disorders, level of motivation for change). The probation team will also review program conditions with the potential participant and communicate with the defense counsel regarding the client's plea and the next court date. After the client has consented, the probation officer shares the screen with the Clinical Director, who makes recommendations for treatment upon release from the corrections facility. The participant will schedule the ASI with the treatment team no later than five days following program entry. Assessed treatment commences immediately. The case manager will assist in obtaining grant funding for assessed treatment requirements as needed.

Eligibility requirements state clients of the DUI Court must be substance abusing or chemically dependent, must not be a violent offender, and must voluntarily choose to participate in the program. Further requirements include the client must be deemed high risk of reoffending by a reliable and valid instrument; must not have medical or severe mental health needs that would preclude the client from being able to participate in mandatory treatment, vocational, and judicial proceedings and appointments; must not have holds or pending charges in another

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jurisdiction that have not been cleared; must not have a pending felony drug sale charge or conviction; must not be a confirmed gang member; and must not be on the Sex Offender Registry. Clients for the DUI Court must be charged/convicted of any DUI offense.

Program Structure: Clients may be accepted from General Sessions Court and Criminal Court (20th Judicial District and 2nd Circuit). The program can be completed in as little as 11 months and 29 days but may take some participants longer to complete pending program success and jail sentence length following conviction. The program will consists of five phases.

The **case management process** will primarily be the dual role of case managers at TCFC for identifying substance use-related needs and linking clients with resources and the probation officers who will aid in monitoring and advocating for clients' legal needs. Each member of the team aids in the coordinated case management process through service provision within their realm of expertise. The probation officers s for participants throughout their program, while also functioning in the **community supervision** role. Responsibilities of the probation team include conducting the initial screening, conducting home and employment visits, reviewing drug screens and attendance to court-ordered requirements, maintaining clear and consistent communication with participants, and providing weekly updates during among others.

Davidson County has an abundance of local providers integrating **evidence-based treatment** into service provision who have established a partnership with the GSRC and will partner with the DUI Court. Some of these providers include TCFC, Mental Health Cooperative, Samaritan Recovery Community, Buffalo Valley, and The Next Door. Practices utilized by these agencies include CBT, trauma-informed CBT, Motivational Interviewing, and MAT referrals, to name a few. These partnerships meet the DUI Court objective of promoting positive and effective

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interactions among criminal justice and community agencies by linking participants with community organizations, enhancing the collaboration among local treatment facilities.

The **recovery support services delivery plan** serves to meet the objective of reducing substance use disorder among participants through a connection with auxiliary treatment services tailored to meet the distinct needs of clients in the DUI Court program. The Clinical Director will oversee the development of a personalized treatment plan for each participant and assist with referrals to complementary services as needed. Clients will construct a foundation for continued recovery through individual therapy to determine root causes of substance use disorder, case management to identify gaps in services to reduce criminogenic risk, and connection to peer support services to begin building a recovery community. Additionally, therapeutic services will empower clients to focus on rebuilding and healing personal, familial, and societal relationships.

Judicial supervision will be provided by the DUI Court Judge, who plays an integral role on the team and has the unique ability to influence outcomes for participants through interactions during status hearings. Research has shown that these interactions are one of the greatest predictors of participant outcomes and best practices standards recommend the Judge spend no less than three minutes with each particpant to achieve positive outcomes for clients (NADCP, 2013). During these interactions, the Judge will issue sanctions and incentives based upon the participant's behavior and their progress in the program and build rapport. Sanction and incentive decisions will be based on best practices and recommendations from team members during staffing.

Participants of the DUI court will be required to perform **randomized drug testing** a minimum of 2 times per week during their entire time in the program. The DUI court will contract with averhealth who follows all policy and procedure as recommended through NADCP

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Best Practice Standards including: randomly administered drug testing; scheduled intervals of testing; frequency of testing occurs no less than twice a week during the first several months in the program; frequency thereafter depends on a participant's progress; direct observation of urine sample collection; verification of temperature and measurement of creatinine levels to determine extent of water loading; specific, detailed written procedures regarding collection, analysis and result reporting; documented chain of custody of each sample collected; quality control and quality assurance procedures for ensuring the integrity of the process; procedures for verifying accuracy when drug test results are contested. The standard panel for the DUI Court will include opiates, benzodiazepines, EtG, amphetamines, cocaine, THC and will also test for buprenorphine, and fentanyl on a regular basis. Creatinine and temperature are evaluated and recorded as well. Vouchers and the remaining cost of each test will be subsidized by the DUI Court budget. Drug testing results will be monitored by probation staff daily. Should a participant: test positive, fail to report for testing, was unable to provide a sample, tested with a low creatinine level, or attempted to use a false testing device, the participant will be contacted immediately by the probation officer to discuss the non-negative result. Should the defendant request a confirmation test, the program manager will contact averhealth to order it. Participants will also be instructed to attend the next status hearing date regardless if they are scheduled to provide increased supervision and address the Judge of any changes in their behavior or relapse that may have occurred.

Incentives and sanctions: The DUI Court will provide a highly individualized program intended for participants that want to change their life. Clearly defined strategies as outlined in the NADCP Best Practice Guidelines and NDCI Guiding Principles are documented and provided to participants at their intake appointment. When prosocial changes occur, the Judge will issue an

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incentive. When antisocial behaviors or attitudes occur, the Judge will issue a sanction, and through the treatment representative, a therapeutic adjustment may be recommended. The Judge will be the sole individual responsible for issuing sanctions in a timely manner. The team discusses the antisocial or prosocial behavior, then reviews the sanction and incentive guidelines for the Judge to decide on an appropriate response. Each decision is individualized.

Graduation requirements include the participant having at least 90 days of negative random drug screens, having completed all court ordered requirements, having completed all assessed aspects of drug and alcohol treatment, having maintained employment, having earned their GED if needed, and having developed and demonstrated a plan for maintaining their independence. **Criteria for termination** from the program include a new arrest or conviction of misdemeanor or felony offense; having refused to comply with their case plan or treatment plan; having acted violently or making verbal or physical threats to other participants, DUI Court staff, or partner agency team members; having been dishonest repeatedly.

Restitution costs and all fees required for program participation will be communicated to clients during the review of the DUI Court conditions prior to admittance to the program and will be discussed throughout their program participation.

Guiding Principles of DUI Courts: The GSRC has worked diligently to incorporate and adhere to the NADCP Best Practice Standards, and the launch of the new DUI Court will be modeled after the GSRC, while specifically integrating the NCDC Guiding Principles for DUI Courts as follows: *NCDC Guiding Principle #1*: Planning with local community stakeholders to determine how to best serve both the target population while also maintaining public support through the creation of a program criterion that are consistent with the values of the local community members, partners, and stakeholders (NCDC, n.d.). *NCDC Guiding Principle #2*: The IDA

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screening tool is used to identify criminogenic risk factors and needs for substance use treatment; the ASI is administered to determine specific clinical needs for each participants' individualized treatment plan. *NCDC Guiding Principle #3*: The treatment plan is developed by the clinical team, who make recommendations for each participant based on the ASI and refer clients to local community providers best suited to meet their individual treatment needs. The treatment team integrates the cognitive behavioral model into IOP groups for participants, such as MRT and Relapse Prevention. All clients receive aftercare planning and are required to attend community meetings so that they are prepared to maintain a lifestyle that is free from criminal-justice contacts. The probation team is trained in Motivational Interviewing and integrates the stages of change model into the community supervision approach. *Guiding Principle #4*: The probation team will review drug screens daily, conduct bi-monthly office visits with probationers, supervise stay away and restitution requirements, complete home visits, and maintain regular communication with the participants regarding any changes to their case plans. *Guiding Principle #5:* Community partnerships have been forged with many local agencies throughout the history of the GSRC. Utilizing the Partner Model, these agencies, committees, and members are encouraged to share concerns, provide guidance based upon their given roles, and actively work toward the shared mission of the DUI Court. G*uiding Principle #6*: The Judge who will oversee the court has extensive knowledge and experience working with treatment courts and has received training on the NADCP Best Practice model. The judge is a revered member of the Courts and the community at large, and will work to enhance efforts for the sustainability of the DUI Court, promote the mission of the court to the community, and communicate how the rigor of the program improves public safety. *Guiding Principle #7*: Case management will be the primary responsibility of the probation officers, who will glean information as a result of a

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seamless and coordinated team effort, keeping in mind the unique challenges posed by clients with DUI charges. *Guiding Principle #8:* Community partnerships with local residential facilities, transitional housing agencies, and the BCC assist in the transportation of participants who are currently incarcerated to the facilities. Clients will also receive a bus pass to help with transportation needs. Additionally, the public defender will assist in the process of reinstating their license when desired. *Guiding Principle #9:* Program evaluation is provided in conjunction with Tennessee State University and tracks supervision and treatment variables in order measure short-term outcomes such as attendance at treatment, status hearings, and drug screens and longer-term outcomes including recidivism rates, cost effectiveness of the program, and the number of drug-free babies born as a result of participation in the program. *Guiding Principle #10*: Sustainability will come from additional grant applications following the conclusion of this grant period as well as requested funding from Metropolitan Government of Nashville and Davidson County.

Monitoring of evidence-based interventions: Treatment for substance use disorder will be provided be community partners who have existing relationships with GSRC and who utilize evidence-based practices for substance use disorder. Treatment providers attend staffing for court each week and provide consistent feedback regarding each client's progress with their treatment plan to the community supervision team. To ensure the effectiveness of treatment, the team's Clinical Director oversees the provision of services and cultivates an individualized treatment plan for each client. Client surveys are administered to determine level of satisfaction, and staff monitor whether clients are attaining their treatment goals. Additionally, members of the DUI Court team have established a trauma-informed open line of communication to identify opportunities to modify treatment plans and make referrals to meet the needs of each client on a

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case-by-case basis. **The range of treatment modalities** includes individual therapy to determine root causes of substance use disorder, qualified staff to provide treatment for co-occurring disorders, specialized groups utilizing evidence-based curriculum in an environment that fosters understanding and support among peers in recovery, access to MAT services, and referrals to community partners for therapy.

Identify, assess, and prioritize high-risk/high need persons: Information regarding the GSRC will be disseminated to local attorneys who make referrals through community partnerships with the Tennessee Association of Criminal Defense Attorneys (TACDL), the Public Defenders' Office, and the District Attorneys' Office. Clients will then be assessed to determine risk and need level through use of a validated assessment tool. The DUI Court will utilize the IDA as it has been shown to be effective in identifying client risk level associated with impaired driving and need level for substance use treatment. The probation team will all receive training on this instrument and administer the tool to assess goodness of fit.

Early intervention for post-adjudication cases is achieved by intervention on the part of the DUI Court Team before the individual's criminogenic risk factors are increased due to continued incarceration. Clients who are admitted to the DUI Court can plea guilty and complete DUI Court as an order of their probation, which results in the conviction of a lesser offense if they complete the program. This reduces their criminogenic risk through a linkage with necessary substance use treatment, resources to meet mental health needs, housing assistance, continued supervision, and assistance in building a strong support network to help individuals successfully reduce criminal justice contacts and recurrence of use after completing the program. Individuals who graduate from the program have a reduction in the continuum of criminogenic risk as a result of not increasing their criminal history through additional incarceration.

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Community engagement: It is integral when developing a DUI Court to include the community in its planning to ensure there is investment from community members and partner agencies. In the planning process of this court model, community engagement has included outreach to local stakeholders and partners including the District Attorney's Office, TACDL, the Public Defender's Office, local treatment providers, and the Steering Committee. Another objective of the DUI Court program is for participants to improve their **personal, familial**, and **societal relationships**. The Court will facilitate this relationship-building by connecting participants with individual and family counseling when appropriate, substance abuse treatment with family involvement, and approving travel passes to facilitate growth and healing. Participants will be referred to agencies to navigate child support, family court, driver's license reinstatement, and victims of domestic violence are introduced to the Jean Crowe Advocacy Center.

The DUI Court team has all received training on the **trauma-informed care model**. The Program Manager is certified by the GAINS Center for Trauma Informed Care for Criminal Justice Professionals and has attended numerous webinars and conferences to increase her knowledge of the model. The multidisciplinary team members have all received training on the trauma-informed care model, which has equipped them with a distinct knowledge base and skill set for working with high-risk and high-need populations. The DUI Court seeks to serve individuals by identifying the underlying causes of substance use disorder to reduce recidivism and aid in the development of positive coping skills while addressing trauma histories.

The state of Tennessee does not currently certify the DUI/DWI court model; however, the state does **adhere to a certified Recovery Court model**, and this Court will tailor its structure, strategies, and functionality to the pre-existing certified GSRC model. Additionally, foundational training on the DUI/DWI Court model will be requested for all team members.

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Participant treatment will be funded largely through state and federal grants, SOAR, private insurance, TNCare, or the Tennessee Recovery Foundation. The Judge can waive the cost of several treatment and education classes. Almost all services provided by the DUI Court will be complementary.

The plan to deliver services to provide opioid, stimulant, and substance abuse reduction will include completion of the ASI, the creation of an individualized treatment plan, residential treatment when needed, referrals to MAT when appropriate, individual therapy, more than 200 hours of required IOP, engagement in peer support services to build a recovery community, referrals to ancillary substance use disorder and mental health treatment when needed, and aftercare for all participants. These **services will be provided** by TCFC and other local partner agencies such as Buffalo Valley, Samaritan Recovery Community, Then Next Door, and Mental Health Cooperative. The team will conduct assessments and prescreens for residential treatment after the individual treatment plans are developed by the clinical team, and a contact identified by each agency will facilitate intakes for clients.

Capabilities and Competencies

Key GSRC team members include the Judge, program manager, prosecutor, public defender, treatment representative, and probation officers. The Judge interacts with each participant during status hearings and issues incentives and sanctions based upon their behaviors and program progress. He also determines if any adjustments are needed to participants' case plans after listening to updates from community partners during staffing. The program manager maintains accurate and timely records for the program, oversees fiscal and contractual obligations, facilitates communications between partner agencies and multidisciplinary team members, ensures policies and procedures are adhered to, oversees collection of performance data and

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outcomes, schedules court sessions and meetings, and trains new team members. The prosecutor serves as an advocate for public safety, the interests of the victims involved, and holds participants accountable for meeting conditions of the GSRC program. They may also work to resolve other pending legal matters that impact participants' legal statuses or eligibility for the program. The prosecutor is also charged with providing guidance surrounding the eligibility of potential participants based on criminal history and NCIC reports. The public defender is an advocate for participants' constitutional rights and legal interests within the program. They are also involved in team collaboration to devise sanction and incentive ideas and ensure due process rights are adhered to. The public defender might also assist clients in getting their license back or linking them with representation on any criminal charges brought to the Court's attention while participating in the program. The treatment representative facilitates the completion of ASI assessments for clients and makes recommendations for clinical treatment plans as needed. Case management is also provided through the treatment center, which is overseen by the Treatment Director. **Community supervision is provided by the probation team, and responsibilities include** conducting screens, informing candidates of program requirements, providing case management throughout the program, reviewing drug screens and attendance records, providing weekly updates during staffing, enforcing travel restrictions, attending regular visits with clients, and maintaining clear and consistent communication with participants regarding changes to their case plans. All team members have the appropriate certifications, licensures, and educational backgrounds to serve in their respective roles, and all team members are trained in the treatment court model.

Personnel other than team members who are critical to the program's successful implementation include courthouse staff, security, court clerk, metro bus systems, and traffic

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court staff. The organizational capabilities are fully funded annually and are able and agreeable to provide their specific roles and duties as necessary to successfully implement the proposed application.

Partnerships/Evidence-based treatment: The GSRC has a rich history of building community partnerships to best serve participants' needs for treatment and support, and many of these same local partners will continue to provide support and services to the clients of the DUI Court, as mentioned previously. Each of these community partners is transparent with regard to how they administer evidence-based treatment and will continue to work collaboratively with the Clinical Director on the multidisciplinary team to ensure these modalities are effective and align with the objectives of the court and Guiding Principles of DUI Courts. Quality will also be monitored through clients' abilities to meet treatment goals and conduction of client satisfaction surveys.

Training: Team members are required to attend continuing education workshops at least once per year to ensure up-to-date knowledge regarding best practices on topics to include substance use disorder and mental health treatment, community supervision, drug and alcohol testing, complementary treatment and social services, behavior modification, team collaboration, and constitutional and legal issues in drug courts. State employees also attend cultural diversity and inclusion trainings. Training is also offered at the annual NADCP conference, seminars, webinars, NDCI trainings, and others. New staff members receive formal training on the Recovery Court model and best practices in Recovery Courts as soon as practicable after assuming their position and attend continuing education workshops thereafter. Training topics include Program Goals, Mission Statement, Policy and Procedure Manual, Participant Manual, common partner agencies, NADCP Best Practices, Roles of each team member, Introduction to NDCI resources, Screening process and eligibility criterion, drug testing policy and procedure,

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communication expectation, and any additional training areas as needed through a variety of resources such as NDCI, NADCP, and TDMHSAS.

Effective communication will be achieved through weekly staffing prior to court status hearings wherein team members from each discipline discuss the programmatic progress of each participant on the docket and their compliance with DUI Court conditions. Additionally, multidisciplinary team members regularly communicate as needed throughout the week when a compliance issue arises, when a therapeutic adjustment is required, or to discuss recommendations for new clients regarding treatment planning, housing plans, legal matters, or any other immediate concerns. An MOU between partnering agencies and key team members of the DUI Court will be established to ensure full understanding of the expectations and shared vision for the program.

Plan for Collecting the Data Required for Solication's Performance Measures

The DUI Court will participate in data reporting and outcomes evaluation processes to consistently strive for improvements in program efficiency and effectiveness through a performance improvement component and will submit data to BJA's performance reporting systems as requested. The TN-WITS Web-Based Reporting System is currently used to track demographic, performance, and outcome data and is updated continuously to allow for accuracy. Other databases that provide data collection tools include the adult probation database, averhealth, and participant surveys. Data will be reviewed by an independent evaluator to be determined pending award. Persons assisting in the evaluation of program processes and outcomes will be vetted to ensure there are no conflicts of interest to include dual roles, investigators evaluating their own previous work with the program, or financial interests that would compromise the integrity and objectivity of the evaluation. Referrals that were denied

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admittance to the DUI Court will also be reviewed in an effort to evaluate the program's acceptance and inclusion practices. The data reviewed and evaluated shall include program efficiency measures; program effectiveness measures; cultural inclusion data; review of program and service needs and availability; identification of program strengths and needs; a review of Policies and Procedures and updates of all program materials; and identification of team training needs.

Quarterly reviews: The program manager and one of the probation team members will work collaboratively to complete quarterly reviews of the number of participants served as compared to the projected number of participants to be served. They will also monitor the Time Task Plan and measure it against goals on a quarterly basis.

Client community reintegration plans include monitoring the stabilization of each client throughout their program to determine if they are meeting treatment goals and identify any gaps in service provision. As clients near the end of their program and show consistency in their ability to maintain employment, meet treatment goals, maintain housing, pass all drug screens, meet their financial and health needs, and maintain a pro-social recovery network, plans are put in place to ensure their continued success. Client may move out of transitional living and maintain a personal residence. Aftercare is provided for all clients.

The sustainability plan for continuing DUI Court operations after federal assistance has ended will include requesting additional funding from the Metropolitan Nashville Government through the General Sessions Financial Office and applying for additional federal grants. Ongoing coalition-building by key DUI Court team members will be paramount to developing community trust and a promising political and social climate so that this program is viewed in a positive light and regarded as a necessary function within the local jurisdiction's approach to

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jurisprudence. Through this process, further local funding and support from influential community and political leaders will help shape the future of the DUI Court.

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Year 1

Year 2

Year 3

Year 4

Total

Personnel
$0.00

$0.00

$0.00

$0.00

$0.00

Fringe Benefits
$0.00

$0.00

$0.00

$0.00

$0.00

Travel
$2,124.36

$4,026.00

$4,026.00

$4,026.00

$14,202.36

Equipment
$0.00

$0.00

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$0.00

$0.00

$0.00

Supplies
$510.00

$382.50

$382.50

$382.50

$1,657.50

Construction
$0.00

$0.00

$0.00

$0.00

$0.00

SubAwards
$0.00

$0.00

$0.00

$0.00

$0.00

Procurement Contracts
$47,000.00

$69,000.00

$69,000.00

$69,000.00

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$254,000.00

Other Costs
$4,980.00

$8,900.00

$8,900.00

$8,900.00

$31,680.00

Total Direct Costs
$54,614.36

$82,308.50

$82,308.50

$82,308.50

$301,539.86

Indirect Costs
$17,066.99

$25,721.41

$25,721.41

$25,721.41

$94,231.21

Total Project Costs
$71,681.35

$108,029.91

$108,029.91

$108,029.91

$395,771.00

Yearly Match Amount

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$13,653.59

$20,577.13

$20,577.13

$20,577.13

$75,384.98

Total

Percentage

Federal Funds

100.00%

Match Amount
$75,385

25%

Program Income Amount

0%

Budget Detail Summary View

	Budget Category
	Personnel
	Fringe Benefits
	Travel

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Budget Year

Year 1

	Purpose of Travel	Location	Type of Expense	Basis	Cost	Quantity	# Of Staff	# Of Trips	Total Cost
1	TARCP Conference	Murfreesboro, TN	Mileage	Mile	$32.04	3.00	3.00	1.00	$288.36
2	TARCP Conference	Murfreesboro, TN	Meals	Day	$96.00	3.00	3.00	1.00	$864.00
3	NADCP Conference	Nashville, TN	Other	N/A	$20.00	1.00	3.00	4.00	$240.00
4	NADCP Conference	Nashville, TN	Meals	Day	$61.00	1.00	3.00	4.00	$732.00

Travel Total Cost
$2,124.36

Additional Narrative

Year 2

	Purpose of Travel	Location	Type of Expense	Basis	Cost	Quantity	# Of Staff	# Of Trips	Total Cost
1	TARCP Conference	Murfreesboro, TN	Mileage	Mile	$32.04	3.00	3.00		$0.00
2	TARCP Conference	Murfreesboro, TN	Meals	Day	$96.00	3.00	3.00		$0.00
3	NADCP Conference	Unknown	Transportation	Round-Trip	$350.00	1.00	3.00	1.00	$1,050.00
4	NADCP Conference	Unknown	Lodging	Night	$172.00	4.00	3.00	1.00	$2,064.00

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5	NADCP Conference	Unknown	Meals	Day	$76.00	4.00	3.00	1.00	$912.00

Travel Total Cost
$4,026.00

Additional Narrative

Year 3

	Purpose of Travel	Location	Type of Expense	Basis	Cost	Quantity	# Of Staff	# Of Trips	Total Cost
1	TARCP Conference	Murfreesboro, TN	Mileage	Mile	$32.04	3.00	3.00		$0.00
2	TARCP Conference	Murfreesboro, TN	Meals	Day	$96.00	3.00	3.00		$0.00
3	NADCP Conference	Unknown	Transportation	Round-Trip	$350.00	1.00	3.00	1.00	$1,050.00
4	NADCP Conference	Unknown	Lodging	Night	$172.00	4.00	3.00	1.00	$2,064.00
5	NADCP Conference	Unknown	Meals	Day	$76.00	4.00	3.00	1.00	$912.00

Travel Total Cost
$4,026.00

Additional Narrative

Year 4

	Purpose of Travel	Location	Type of Expense	Basis	Cost	Quantity	# Of Staff	# Of Trips	Total Cost
1	TARCP Conference	Murfreesboro, TN	Mileage	Mile	$32.04	3.00	3.00		$0.00

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2	TARCP Conference	Murfreesboro, TN	Meals	Day	$96.00	3.00	3.00		$0.00
3	NADCP Conference	Unknown	Transportation	Round-Trip	$350.00	1.00	3.00	1.00	$1,050.00
4	NADCP Conference	Unknown	Lodging	Night	$172.00	4.00	3.00	1.00	$2,064.00
5	NADCP Conference	Unknown	Meals	Day	$76.00	4.00	3.00	1.00	$912.00

Travel Total Cost
$4,026.00

Additional Narrative

Equipment

Supplies

Budget Year

Year 1

	Purpose of Supply Items	# of Items	Unit Cost	Total Cost
1	Printed Participant Materials	75.00	$2.00	$150.00
2	Office Supplies	1.00	$120.00	$120.00
3	Graduation Certificate Materials	4.00	$60.00	$240.00

Supplies Total Cost

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$510.00

Additional Narrative

Year 2

	Purpose of Supply Items	# of Items	Unit Cost	Total Cost
1	Printed Participant Materials	75.00	$2.00	$150.00
2	Office Supplies	1.00	$120.00	$120.00
3	Graduation Certificate Materials	75.00	$1.50	$112.50

Supplies Total Cost

$382.50

Additional Narrative

Year 3

	Purpose of Supply Items	# of Items	Unit Cost	Total Cost
1	Printed Participant Materials	75.00	$2.00	$150.00
2	Office Supplies	1.00	$120.00	$120.00
3	Graduate Certificate Materials	75.00	$1.50	$112.50

Supplies Total Cost

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$382.50

Additional Narrative

	Year 4			
	Purpose of Supply Items	**# of Items**	**Unit Cost**	**Total Cost**
1	Printed Participant Materials	75.00	$2.00	$150.00
2	Office Supplies	1.00	$120.00	$120.00
3	Graduation Certificate Material	75.00	$1.50	$112.50

Supplies Total Cost

$382.50

Additional Narrative

	Construction
	SubAwards
	Procurement Contracts

	Budget Year
	Year 1

Item	**Description**	**Country**	**State/U.S. Territory**	**City**	**Total Cost**

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1	Drug testing/SCRAM device	Abstinence monitoring	United States	Tennessee	Nashville	$30,000.00
2	Therapy	One-on-one Trauma Therapy	United States	Tennessee	Nashville	$12,000.00
3	Evaluator	Program evaluation	United States	Tennessee	Nashville	$5,000.00

Procurement Cost
$47,000.00

Purpose of Travel	Location	Type of Expense	Cost	Duration or Distance	# of Staff	Total Cost

No items

Consultant Travel Total Cost
0.00
Procurement Total Cost
$47,000.00

Additional Narrative

Year 2

	Item	Description	Country	State/U.S. Territory	City	Total Cost
1	Drug testing/SCRAM/Interlock	Abstinence Monitoring	United States	Tennessee	Nashville	$40,000.00
2	Therapy	One-on-one trauma therapy	United States	Tennessee	Nashville	$24,000.00
3	Evaluator	program evaluation	United States	Tennessee	Nashville	$5,000.00

Procurement Cost

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$69,000.00

Purpose of Travel	Location	Type of Expense	Cost	Duration or Distance	# of Staff	Total Cost

No items

Consultant Travel Total Cost
0.00
Procurement Total Cost
$69,000.00

Additional Narrative

Year 3

	Item	Description	Country	State/U.S. Territory	City	Total Cost
1	Drug testing/SCRAM/Interlock	Abstinence Monitoring	United States	Tennessee	Nashville	$40,000.00
2	Therapy	One-on-one trauma therapy	United States	Tennessee	Nashville	$24,000.00
3	Evaluator	Program Evlauation	United States	Tennessee	Nashville	$5,000.00

Procurement Cost
$69,000.00

Purpose of Travel	Location	Type of Expense	Cost	Duration or Distance	# of Staff	Total Cost

No items

Consultant Travel Total Cost
0.00
Procurement Total Cost

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$69,000.00

Additional Narrative

Year 4

	Item	Description	Country	State/U.S. Territory	City	Total Cost
1	drug testing/SCRAM/Interlock	Abstinence monitoring	United States	Tennessee	Nashville	$40,000.00
2	Therapy	One-on-one trauma therapy	United States	Tennessee	Nashville	$24,000.00
3	Evaluator	Program Evaluation	United States	Tennessee	Nashville	$5,000.00

Procurement Cost
$69,000.00

Purpose of Travel	Location	Type of Expense	Cost	Duration or Distance	# of Staff	Total Cost

No items

Consultant Travel Total Cost
0.00
Procurement Total Cost
$69,000.00

Additional Narrative

Other Costs

Budget Year

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Year 1

	Description	Quantity	Basis	Costs	Length of Time	Total Costs
1	Rent	5.00	standard Transitional Housing Weekly rate	$140.00	7.00	$4,900.00
2	Medication	10.00	standard basic medication costs	$8.00	1.00	$80.00

Other Costs Total Cost
$4,980.00

Additional Narrative

Year 2

	Description	Quantity	Basis	Costs	Length of Time	Total Costs
1	Rent	9.00	Standard TL Rate	$140.00	7.00	$8,820.00
2	Medication	10.00	Standard basic med cost	$8.00	1.00	$80.00

Other Costs Total Cost
$8,900.00

Additional Narrative

Year 3

Description	Quantity	Basis	Costs	Length of Time	Total Costs

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1	Rent	9.00	Standard TL nightly rate	$140.00	7.00	$8,820.00
2	Medication	10.00	standard basic meds	$8.00	1.00	$80.00

Other Costs Total Cost
$8,900.00

Additional Narrative

Year 4

	Description	Quantity	Basis	Costs	Length of Time	Total Costs
1	Rent	9.00	Standard TL rate nightly	$140.00	7.00	$8,820.00
2	Medication	10.00	Standard basic med cost	$8.00	1.00	$80.00

Other Costs Total Cost
$8,900.00

Additional Narrative

Indirect Costs

Budget Year

Year 1

Description	Base	Indirect Cost Rate	Total Cost

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1	General Sessions Court Required	$54,614.36	31.25%	$17,066.99

Indirect Costs Total Cost
$17,066.99

Additional Narrative
Metro doesn't have an approved rate from a cognizant agency and is not required to have one based on Federal regulations. The Federal CFR Part 200 defines in Section 414: agencies receiving $35 million or more in direct Federal awards in their fiscal year are required to submit their indirect cost rate proposal (IDCRP) to their cognizant agency for review and approval (See CFR Appendix VII, Section D.1.a and D.1.b). Metro does not have any agencies receiving above the Federal threshold in direct Federal awards. Link to our website
info: http://www.nashville.gov/Portals/0/SiteContent/Finance/docs/Cost%20Accounting/OMB%20Super%20Circular.pdf

Further, Federal 2 CFR Part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards does not require a Local Government to have an approved cost allocation plan/indirect cost rate proposal from a cognizant agency. The CFR requires Metro to have the information on file for audit purposes as outlined in the section below. Metro doesn't have a cognizant agency and has never been requested by the Federal Agency that provides the most funding to Metro to submit our cost allocation plan or proposal for approval.

	Year 2			
	Description	**Base**	**Indirect Cost Rate**	**Total Cost**
1	General Sessions Court Required	$82,308.50	31.25%	$25,721.41

Indirect Costs Total Cost
$25,721.41

Additional Narrative
Metro doesn't have an approved rate from a cognizant agency and is not required to have one based on Federal regulations. The Federal CFR Part 200 defines in Section 414: agencies receiving $35 million or more in direct Federal awards in their fiscal year are required to submit their indirect cost rate proposal (IDCRP) to their cognizant agency for review and approval (See CFR Appendix VII, Section D.1.a and D.1.b). Metro does not have any agencies receiving above the Federal threshold in direct Federal awards. Link to our website
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Year 3

	Description	Base	Indirect Cost Rate	Total Cost
1	General Sessions Court required	$82,308.50	31.25%	$25,721.41

Indirect Costs Total Cost
$25,721.41

Additional Narrative

Metro doesn't have an approved rate from a cognizant agency and is not required to have one based on Federal regulations. The Federal CFR Part 200 defines in Section 414: agencies receiving $35 million or more in direct Federal awards in their fiscal year are required to submit their indirect cost rate proposal (IDCRP) to their cognizant agency for review and approval (See CFR Appendix VII, Section D.1.a and D.1.b). Metro does not have any agencies receiving above the Federal threshold in direct Federal awards. Link to our website
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Year 4

	Description	Base	Indirect Cost Rate	Total Cost

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1	General Sessions Court required	$82,308.50	31.25%	$25,721.41

Indirect Costs Total Cost
$25,721.41

Additional Narrative

Metro doesn't have an approved rate from a cognizant agency and is not required to have one based on Federal regulations. The Federal CFR Part 200 defines in Section 414: agencies receiving $35 million or more in direct Federal awards in their fiscal year are required to submit their indirect cost rate proposal (IDCRP) to their cognizant agency for review and approval (See CFR Appendix VII, Section D.1.a and D.1.b). Metro does not have any agencies receiving above the Federal threshold in direct Federal awards. Link to our website
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DOES THIS BUDGET CONTAIN CONFERENCE COSTS WHICH IS DEFINED BROADLY TO INCLUDE MEETINGS, RETREATS, SEMINARS, SYMPOSIA, AND TRAINING ACTIVITIES?
Yes

INITIATE
COMPLETE AND SUBMIT
REVIEW
BUDGET REVIEW
AWARDPACKAGEREADY

Information

ApplicationInfo GrantSubmissionHeader OpportunityID
O-BJA-2021-46003

SolicitationID
SI-46003

Solicitation Title
BJA FY 21 DC

Application Case ID
A-159026

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DocuSign Envelope ID: 579A4577-0224-48FC-80F3-0FA91FC0C651

Application Group Case ID
AG-27001

SF424_2_1

Audit

Grant Package

Solicitation Instructions

- Standard Applicant Information
- Proposal Abstract
- Proposal Narrative
- **Budget and Associated Documentation**
  - Conference Costs
  - Personnel
  - Fringe Benefits
  - Travel
  - Equipment
  - Supplies
    - Construction
  - SubAwards (Subgrants)
  - Procurement Contracts
  - Other Costs
  - Indirect Costs
  - Consolidated Category Summary
  - Budget / Financial Attachments
- Additional Application Components
- Disclosure And Assurances
- Other
- Certify and Submit

Recent followers

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No items

Participants

(2)

VW
VAUGHN WILSON
Entity Administrator

LB
Lauren Berens

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Application Submitter

Back

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APPLICATION FOR Misdemeanor DUI Court BJA Grant

METROPOLITAN GOVERNMENT OF NASHVILLE AND DAVIDSON COUNTY

Director
Department of General Sessions Court

4/8/21
Date

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2021 APR 27 AM11:38
FILED METROPOLITAN CLERK

ORIGINAL

METROPOLITAN COUNTY COUNCIL

Resolution No. RS2021-897

A resolution approving an application for a General Sessions DUI Court grant from the U. S. Department of Justice to the Metropolitan Government, acting by and through the Davidson County General Sessions Court, to fund and support the operations of a misdemeanor DUI court to assist in acquiring support services to minimize the risk of participants reoffending.

Introduced MAY 04 2021

Amended _____

Adopted MAY 04 2021

Approved _____

By MAY 05 2021
Metropolitan Mayor