

## GRANT APPLICATION SUMMARY SHEET

**Grant Name:** General Session DUI Court 21-25

**Department:** GENERAL SESSIONS CT

**Grantor:** U.S. DEPARTMENT OF JUSTICE

**Pass-Through Grantor  
(If applicable):**

**Total Applied For:** \$301,539.86

**Metro Cash Match:** \$0.00  
**Department Contact:** Lauren Berens

**Status:** 862-8380  
NEW

**Program Description:**

Applying for BJA 48 month grant for the implementation of a new General Sessions DUI Court under the Recovery Court Department. Funding will be used to supplement drug testing costs, operation costs, community building events, family focused support, bus passes, emergency needs following release from jail, rent support, therapy, medications and treatment services as clinically assessed.

**Plan for continuation of services upon grant expiration:**

Request funding from Metro for supplemental support.

**APPROVED AS TO AVAILABILITY  
OF FUNDS:**

**APPROVED AS TO FORM AND  
LEGALITY:**

DocuSigned by:  
Kevin Crumboltz 4/14/2021  
**Director of Finance** <sup>DS</sup> **Date**

DocuSigned by:  
Nicki Eke 4/14/2021  
**Metropolitan Attorney** <sup>DS</sup> **Date**

**APPROVED AS TO RISK AND  
INSURANCE:**

DocuSigned by:  
Balagun Cobb 4/14/2021  
**Director of Risk Management** <sup>DS</sup> **Date**  
**Services**

DocuSigned by:  
John Cooper 4/14/2021  
**Metropolitan Mayor** <sup>DS</sup> **Date**  
*(The application is contingent upon the approval by the Metropolitan Council.)*

5214  
Budget Analyst  
Approval

<sup>DS</sup>  
U

### Grants Tracking Form

Part One

<b>Pre-Application</b> <input type="radio"/>		<b>Application</b> <input checked="" type="radio"/>		<b>Award Acceptance</b> <input type="radio"/>		<b>Contract Amendment</b> <input type="radio"/>	
Department		Dept. No.		Contact		Phone	
GENERAL SESSIONS CT		027		Lauren Berens		862-8380	
Fax							
214-3609							
<b>Grant Name:</b>		General Session DUI Court 21-25					
<b>Grantor:</b>		U.S. DEPARTMENT OF JUSTICE				<b>Other:</b>	
<b>Grant Period From:</b>		10/01/21		<small>(applications only)</small> <b>Anticipated Application Date:</b>		04/14/21	
<b>Grant Period To:</b>		09/30/25		<small>(applications only)</small> <b>Application Deadline:</b>		04/14/21	
<b>Funding Type:</b>		FED DIRECT		<b>Multi-Department Grant</b>		<input type="checkbox"/> <b>If yes, list below.</b>	
<b>Pass-Thru:</b>				<b>Outside Consultant Project:</b>		<input type="checkbox"/>	
<b>Award Type:</b>		COMPETITIVE		<b>Total Award:</b>		\$301,539.86	
<b>Status:</b>		NEW		<b>Metro Cash Match:</b>		\$0.00	
<b>Metro Category:</b>		New Initiative		<b>Metro In-Kind Match:</b>		\$75,384.97	
<b>CFDA #</b>				<b>Is Council approval required?</b>		<input checked="" type="checkbox"/>	
<b>Project Description:</b>				<b>Applic. Submitted Electronically?</b>		<input type="checkbox"/>	
<p>Applying for BJA 48 month grant for the implementation of a new General Sessions DUI Court under the Recovery Court Department. Funding will be used to supplement drug testing costs, operation costs, community building events, family focused support, bus passes, emergency needs following release from jail, rent support, therapy, medications and treatment services as clinically assessed.</p>							
<b>Plan for continuation of service after expiration of grant/Budgetary Impact:</b>							
Request funding from Metro for supplemental support.							
<b>How is Match Determined?</b>							
<b>Fixed Amount of \$</b>		or		25.0%		<b>% of Grant</b>	
						<b>Other:</b> <input type="checkbox"/>	
<b>Explanation for "Other" means of determining match:</b>							
Match requirement will be met through the partner TN Recovery Foundation.							
<b>For this Metro FY, how much of the required local Metro cash match:</b>							
<b>Is already in department budget?</b>				<b>Fund</b>		<b>Business Unit</b>	
						TN Recovery Foundation	
<b>Is not budgeted?</b>				<b>Proposed Source of Match:</b>			
				TN Recovery Foundation			
<b>(Indicate Match Amount &amp; Source for Remaining Grant Years in Budget Below)</b>							
<b>Other:</b>							
<b>Number of FTEs the grant will fund:</b>				0.00		<b>Actual number of positions added:</b>	
						0.00	
<b>Departmental Indirect Cost Rate</b>				25.00%		<b>Indirect Cost of Grant to Metro:</b>	
						\$94,231.21	
<b>*Indirect Costs allowed?</b> <input checked="" type="radio"/> Yes <input type="radio"/> No				<b>% Allow.</b>		<b>Ind. Cost Requested from Grantor:</b>	
				25.00%		\$94,231.21	
<b>in budget</b>							
*(If "No", please attach documentation from the grantor that indirect costs are not allowable. See Instructions)							
<b>Draw down allowable?</b> <input type="checkbox"/>							
<b>Metro or Community-based Partners:</b>							

Part Two

Grant Budget										
Budget Year	Metro Fiscal Year	Federal Grantor	State Grantor	Other Grantor	Local Match Cash	Match Source (Fund, BU)	Local Match In-Kind	Total Grant Each Year	Indirect Cost to Metro	Ind. Cost Neg. from Grantor
Yr 1	FY21	\$54,614.36					\$13,653.59	\$68,267.95	\$17,066.99	\$17,066.99
Yr 2	FY22	\$82,308.50					\$20,577.13	\$102,885.63	\$25,721.41	\$25,721.41
Yr 3	FY23	\$82,308.50					\$20,577.13	\$102,885.63	\$25,721.41	\$25,721.41
Yr 4	FY24	\$82,308.50					\$20,577.13	\$102,885.63	\$25,721.41	\$25,721.41
Yr 5	FY__									
<b>Total</b>		<b>\$301,539.86</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$75,384.97</b>	<b>\$376,924.83</b>	<b>\$94,231.21</b>	<b>\$94,231.21</b>
<b>Date Awarded:</b>			10/01/21		<b>Tot. Awarded:</b>			<b>Contract#:</b>		
(or) <b>Date Denied:</b>					<b>Reason:</b>			NA		
(or) <b>Date Withdrawn:</b>					<b>Reason:</b>					

Contact: [trinity.weathersby@nashville.gov](mailto:trinity.weathersby@nashville.gov)  
[vaughn.wilson@nashville.gov](mailto:vaughn.wilson@nashville.gov)

*VW*

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text" value="03/30/2021"/>	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
<b>State Use Only:</b>		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text" value="Tennessee"/>	
<b>8. APPLICANT INFORMATION:</b>		
* a. Legal Name: <input type="text" value="Metropolitan Government on Nashville and Davidson County"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="2-620694743-014-4"/>	* c. Organizational DUNS: <input type="text" value="0782176680000"/>	
<b>d. Address:</b>		
* Street1:	<input type="text" value="408 2nd Ave N"/>	
Street2:	<input type="text" value="Recovery Court"/>	
* City:	<input type="text" value="Nashville"/>	
County/Parish:	<input type="text" value="Tennessee"/>	
* State:	<input type="text" value="TN: Tennessee"/>	
Province:	<input type="text"/>	
* Country:	<input type="text" value="USA: UNITED STATES"/>	
* Zip / Postal Code:	<input type="text" value="37201-1201"/>	
<b>e. Organizational Unit:</b>		
Department Name: <input type="text" value="General Sessions"/>	Division Name: <input type="text" value="Recovery Court"/>	
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: <input type="text" value="Mrs."/>	* First Name: <input type="text" value="Lauren"/>	
Middle Name: <input type="text"/>		
* Last Name: <input type="text" value="Berens"/>		
Suffix: <input type="text"/>		
Title: <input type="text" value="Program Manager"/>		
Organizational Affiliation: <input type="text" value="GS Recovery Court"/>		
* Telephone Number: <input type="text" value="615-862-8380"/>	Fax Number: <input type="text" value="615-214-3609"/>	
* Email: <input type="text" value="LaurenBerens@jisnashville.gov"/>		

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

Bureau of Justice Assistance

**11. Catalog of Federal Domestic Assistance Number:**

16.585

CFDA Title:

Drug Court Discretionary Grant Program

**\* 12. Funding Opportunity Number:**

O-BJA-2021-46003

\* Title:

BJA FY 21 Adult Drug Court and Veterans Treatment Court Discretionary Grant Program

**13. Competition Identification Number:**

C-BJA-2021-00019-PROD

Title:

3

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

Davidson County GS Recovery Court is applying for funds to begin and support the operations of a DUI court.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments



**Application for Federal Assistance SF-424****16. Congressional Districts Of:**\* a. Applicant \* b. Program/Project 

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**\* a. Start Date: \* b. End Date: **18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="400,000.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="100,000.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="500,000.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?** a. This application was made available to the State under the Executive Order 12372 Process for review on  b. Program is subject to E.O. 12372 but has not been selected by the State for review. c. Program is not covered by E.O. 12372.**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)** Yes  No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

 \*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**Prefix:  \* First Name: Middle Name: \* Last Name: Suffix: \* Title: \* Telephone Number:  Fax Number: \* Email: \* Signature of Authorized Representative:  \* Date Signed:

**Disclosure of Lobbying Activities**

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
(See reverse for public burden disclosure)

<b>1. Type of Federal Action:</b> a. contract <input type="checkbox"/> <b>B</b> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	<b>2. Status of Federal Action:</b> a. bid/offer/application <input type="checkbox"/> <b>B</b> b. initial award c. post-award	<b>3. Report Type:</b> a. initial filing <input type="checkbox"/> <b>A</b> b. material change  <b>For material change only:</b> Year _____ quarter _____ Date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> <b>X</b> Prime <input type="checkbox"/> Subawardee Tier _____, if Known:  Davidson County GS Recovery Court 408 2 <sup>nd</sup> Ave N Nashville, TN 37201-1201  Congressional District, if known: TN-005	<b>5. If Reporting Entity in No. 4 is Subawardee,</b> Enter Name and Address of Prime:   Congressional District, if known:	
<b>6. Federal Department/Agency:</b>  US Department of Justice (DOJ)	<b>7. Federal Program Name/Description:</b> Drug Court Discretionary Grant Program  CFDA Number, if applicable: <u>16.585</u>	
<b>8. Federal Action Number, if known:</b> O-BJA-2021-46003	<b>9. Award Amount, if known:</b> \$	
<b>10. a. Name and Address of Lobbying Registrant</b> <i>(if individual, last name, first name, MI):</i>  N/A	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>  N/A	
<b>11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</b>	Signature: <u>Lauren Berens</u>  Print Name: Lauren Berens  Title: Program Manager  Telephone No.: 615-862-8380    Date: 4/14/21	
<b>Federal Use Only</b>	Authorized for Local Reproduction Standard Form - LLL (Rev. 7-97)	

# Davidson County Recovery Court, Adult Recovery Court Expansion-BJA-2021



## **Project Abstract**

This project proposal would launch a new misdemeanor DUI Court in Davidson County. The program will connect participants to evidence-based and trauma-informed substance use and co-occurring treatment for high-risk and high-need individuals charged with any misdemeanor DUI offense in Davidson County. Upon acceptance into the DUI court, participants will complete a variety of clinical assessments and will be referred to appropriate service providers both in and around Nashville. All clients will receive assistance in acquiring supportive services to minimize their risk of reoffending and prepare them for life beyond DUI Court. The residents of the Metropolitan Nashville area will benefit from the program because of increased public safety, reduced rates of substance use, and cost savings incurred through more efficient due process with persons charged with DUI. Participants will address the root causes of their behavior, attend rigorous rehabilitative treatment, and be mandated to acquire employment to pay court fees, restitution, and contribute to the betterment of society.

Category 3 funding is being requested, and the program will serve participants both pre- and post-adjudication out of General Sessions Court and post-adjudication from State Trial courts. The court is requesting the total allowable amount of \$301539.86 in funding for the duration of the grant. The total number of participants to be served throughout the life of the grant period is 350 participants. The minimum length of the program will be 11 months and 29 days, with a proposed maximum of 18 months. The target population includes persons who have been charged with a misdemeanor DUI (without victim injury), have been identified as high-risk for committing a new offense and high-need for substance use disorder treatment, and who voluntarily enter DUI Court. Participants in the DUI Court will have access to Medication-Assisted Therapy (MAT) through local community partners and will receive clinical assessments for necessary service referrals. The Court will integrate National Center for DWI Courts 10

Guiding Principles. We will including a multidisciplinary team approach with judicial leadership. Funding will be used as allowed to support training, direct services for participants, housing, drug and alcohol testing and therapeutic services as assessed including a heavy integration of Medication Assisted Treatment. No other funding services are currently being leveraged by General Sessions in conjunction with this grant, and the applicant jurisdiction has not previously received a drug court grant from OJP.

## **Proposal Narrative**

### **Description of Issue**

Davidson County is an urban county spanning approximately 500 square miles with an estimated population of 694,144 individuals (U.S. Census, 2019). Sixty-five percent of the population is white, 27% is Black or African American, and 10% is Latinex (U.S. Census, 2019). Davidson County has increased its population at a rate of 10.8% from 2010 to 2019 (U.S. Census, 2019). This increase has resulted in higher demands placed on the corrections and judicial systems.

It costs approximately \$86.25 per day to house a person incarcerated in one of Tennessee's correctional facilities, and as of December 31, 2020, 18.1% of those currently incarcerated in TN were charged with drug offenses (TDOC, 2021). Currently, the average daily population (ADP) for Davidson County Correctional Facilities is 1,558 (Nashville.gov, 2021). Diverting individuals from corrections facilities (treatment as usual) to treatment courts would reduce the cost. The most recent recidivism rate reported for all persons incarcerated in either jail or prison in the state of TN was 47%, measured over the three years post-release (TN Open Portal Data, 2021). The Davidson County General Sessions Recovery Court (GSRC) is an alternative to incarceration, enabling qualifying individuals to access treatment for substance use disorder (SUD) and mental health deficits, while participating in a rigorous, evidence-based, and trauma-informed level of community supervision.

Access to the GSRC is limited due to funding. To expand the reach of the program, thereby reducing costs to taxpayers and recidivism rates among those involved with the criminal-justice system, funding is being requested to launch a new DUI Court.

**Nature and scope of substance abuse problem/crime patterns:** In 2020, Davidson County reported 619 deaths resulting from suspected drug overdoses, an increase of 32% compared to 2019 (Opioid/Overdose Response & Reduction Program, 2021). There were 2,566 reported nonfatal overdose-related Emergency Department (ED) visits (a 30% increase from 2019), and 5,819 suspected drug overdoses requiring an Emergency Medical Services (EMS) response (31% increase from 2019). Forty-nine percent of fatalities were among those aged 25-44, 37% of overdose-related ED visits were among those aged 25-34, and 33% of all suspected overdoses occurred among those 25-34 years of age (Opioid/Overdose Response & Reduction Program, 2021).

Data from the Tennessee Department of Corrections (2020) during the months of July 2019 to June 2020, identified 1,535 diagnosed cases of an inmate with a substance use disorder, 5,069 persons diagnosed with a serious or persistent mental illness, and 3,816 persons with another DSM diagnosis. More than half of TN Department of Corrections inmates (52.6%) had a DSM diagnosable mental health issue (TN Department of Corrections, 2020).

Local data from the *Davidson County Office of Criminal Justice Planning* reports that in 2020, there were 1,421 physical arrests of individuals charged with DUI. Among those arrested or charged with a state citation between 2015-2019 in Davidson County, the largest growth in arrest trends included theft of merchandise (less than \$1,000), theft of property (less than \$1,000), theft of a vehicle (less than \$2500), and prostitution. Additionally, data from 2017 indicated that there were 4,217 burglaries, 19,214 larceny-thefts, and 2,604 motor vehicle threats in Davidson County that year. This was an increase of 2.4% and 49% in larceny-thefts and motor vehicle arrests, respectively. These are crimes consistent with persons having regular contacts

with the criminal justice system due to substance use disorder, as persons will often use these means to purchase or barter for illicit substances or alcohol.

In 2020, the GSRC received referrals for 170 prospective clients. Of these, 49% were accepted, 17% were denied because they were not high risk and high need (as scored by the TN-RAS), 11% refused the program; 9% were denied due to previous violent offense charges; 5% were denied as a result of gang affiliation; 5% were ineligible or refused the program for other legal reasons; 3% were denied due to severe or persistent mental or physical health challenges; and 1% was not screened by their own volition. Thirty-nine percent of the total number of clients denied access to services and 17% of those accepted were clients charged with DUI. A specialized DUI Court utilizing the IDA tool may have expanded the reach of the program to better serve the overall criminally involved population in Davidson County, reducing additional driving-related offenses in 2021.

**Problems with the current court response:** The Davidson County GSRC currently serves all individuals assessed as being high-risk for reoffending and high need for substance use disorder treatment. Launching a DUI Court would provide the unique opportunity to increase public safety through the provision of rehabilitative services and deterrence for persons charged with impaired driving. This subset of the criminally-involved population has distinct criminogenic and treatment needs, and identifying potential participants who could benefit from a DUI Court would result in a reduction in property damages, personal injuries, and citizen deaths due to impaired driving incidents (NCDC, n.d.). The addition of a DUI Court will also result in the court's ability to serve a higher volume of clients and link them with evidence-based, trauma-informed substance use and mental health treatment.



**Target population/number served:** The target population for the Davidson County DUI Court would be individuals charged and/or convicted with any DUI offense and identified through a valid and reliable instrument as being high risk and high need. In Davidson County, persons convicted of DUI 1<sup>st</sup> spend between 2 days and 11 months and 29 days in jail; DUI 2<sup>nd</sup> are sentenced from 45 days to 11 months and 29 days in jail; DUI 3<sup>rd</sup> may spend from 120 days to 11 months and 29 days in jail; and DUI 4<sup>th</sup> are charged with a class E felony and spend up to 1 year in jail (TN Department of Safety & Homeland Security, n.d.). Additionally, individuals have their licenses revoked for 1, 2, 6, or eight years, respectively, must participate in drug and alcohol treatment, pay fines up to \$15,000, and pay any restitution owed (TN Dept. of Safety & Homeland Security, n.d.). Many clients charged with these offenses may lack the resources to reinstate their license but may continue to drive to attend treatment, classes, case management visits, drug screening, therapy or court hearings. Linking clients with a host of resources to help with license reinstatement and assist with transportation while in DUI Court (bus passes) could reduce the costs associated with persons driving without a license. In a study of three DWI courts in Georgia, 56% of 313 graduates compared with 39% of 232 persons in a comparison group who had also been charged with a DUI offense but received treatment as usual, had reinstated their licenses (Fell, Tippetts, & Langston2011).

The new DUI court would provide services to a maximum of 45 participants per each probation officer during the 48-month grant period. **Current planning efforts** were born out of the need to serve additional clients, as the capacity of Davidson County's current treatment courts has been exacerbated by the impact of the opioid epidemic in addition to rises in overdose cases, substance use disorder, and mental health crises stemming from the recent COVID pandemic. The GSRC has built strong partnerships with Medication-Assisted Therapy (MAT)

providers, mental health community partners, the Public Defender's Office, the Tennessee Association of Criminal Defense Lawyers (TACDL), and the District Attorney's Office over the course of its history, and these partners have been included in the plans to develop a DUI court to meet the need of the community. Treatment partners and community stakeholders have received training on the NADCP ADC model and will receive additional training specific to the DUI court structure. The DUI Court will pilot the developed model in October of 2021 and be prepared to fully implement the court in January of 2022.

**Mechanism to prioritize resources for target population:** The Court's partner treatment agency, The Tennessee Center for Change (TCFC) will include Moral Reconciliation Therapy (MRT) and Cognitive Behavioral Therapy (CBT) group classes as part of each participant's case plan. MRT is a treatment modality used to decrease recidivism rates among populations of criminal offenders by increasing their moral reasoning (Moral Reconciliation Therapy, 2013). CBT is a therapeutic approach that assists individuals' in identifying challenging situations in their lives, increasing their awareness surrounding the thoughts, emotions, and beliefs that manifest when these situations arise, determining which of these thoughts, emotions, and beliefs are having a negative impact on their self-concept, and developing new patterns of thought that are more correct and helpful (Cognitive behavioral therapy, 2018). McMurrin (2007), Quinn (2015), and Needham (2015) have conducted studies showing the efficacy this method provides in reducing recidivism among individuals charged with substance-related offenses, and Usher (2014) has shown its effectiveness in offenders from diverse backgrounds (Cognitive-behavioral therapy (CBT) for offenders, 2018).

**Team Training:** All members of the probation team have received training on the Adult Drug Court Model when hired. Additionally, trainings provided by NCIC, annual attendance at the

NADCP conference and TARCP conference, along with stand-alone webinars and seminars provide continuing education to members of the court and treatment team. Other members of the multidisciplinary team who have received training on the treatment model include the presiding judge, treatment case managers, the clinical director, the team's District Attorney and Public Defender.

**Immediate issues this grant funding will address:** Participants entering the Court are often experiencing homelessness, severe substance use dependency, mental and/or physical health issues, and lack of financial resources including employment and transportation. Therefore, funding from this proposal would address rental assistance, drug testing costs, therapeutic needs relating to mental health issues and opioid, stimulant, and other substance use disorders, and other treatment needs for participants. One objective of this grant proposal is to create a diversified availability of funding so that individuals who might not otherwise seek treatment of their own volition or have the knowledge required to identify funding resources are linked with treatment for SUD. Grant funding would provide a means for these individuals to complete mental health evaluations to treat co-occurring mental health disorders, be linked with appropriate services for SUD, and assist with the costs of transitional living and drug testing.

**Treatment services available:** Clients of the DUI Court will be assessed by the clinical team at TCFC using the ASI to determine immediate treatment needs and develop an individualized treatment plan that identifies each client's unique needs. In this way, clients will be empowered to build a foundation they can call their own. Clients may be referred to inpatient treatment at local facilities utilizing state and federal funding to cover costs. Clients will also participate in IOP services and may be referred to mental health services when appropriate for individual therapy to determine the underlying causes and conditions of addiction. Clients may also access

MAT services, which will be provided by community partners who agree to abide by the MAT policy as set forth by the court in conjunction with NADCP Best Practice guidelines for MAT. Clients who are assessed to be at greater risk of relapse greatly benefit from MAT through a reduction in rates of recurrence of use and longer periods of recovery. Additionally, Relapse Prevention classes are provided to help prevent the recurrence of use.

In 2017-2018, 224 participants entered the GSRC. Twelve participants have absconded from the program, with the potential to reenter the GSRC upon approval from the team once the outstanding warrant is served. Six participants were transferred to a different problem-solving court to best meet their treatment needs. Three clients were tragically abated by death, and one remains active in the program. The remaining 202 clients receiving services from GSRC in 2017 and 2018 graduated from the program at a rate of 44%, including 44 white males, 27 white females, 12 black males, and 6 black females. Of those who were terminated from the RC, 50 were white males, 30 were white females, 24 were white females, 7 were black females, one female identified race as Hispanic, and one participant's race being unknown. Painting the picture data.

### **Project Design and Implementation**

**Prompt entry and commencement of treatment:** Once a client is deemed eligible for the DUI Court, voluntarily agrees to participate, and the Court Order is signed by the presiding Judge(s), DUI Court clients will begin community-based assessments and treatment within seven days of jail release or acceptance into the program. Clients who are currently incarcerated and in need of housing assistance or inpatient treatment are screened by the Behavioral Care Center (BCC), a mental health corrections facility located adjacent to the Downtown Detention Center (DDC) in Nashville that was created to divert clients with mild to moderate mental illness from

jail to a therapeutic community. The BCC provides a unique benefit for participants in that they can begin receiving treatment for mental health and substance use disorders prior to their jail release. The BCC is affiliated with Mental Health Cooperative, a local mental health provider and community partner. While housed at the BCC, clients receive a mental health evaluation, attend individual and group therapy sessions, receive case management, and are prescribed medication when appropriate. DUI Court clients who are transferred to the BCC will be released from jail to the care of a residential facility or transitional living based upon the recommendation of the DUI Court's Clinical Director. The BCC also provides transportation for clients to inpatient facilities. When clients are released to the community, they are required to contact the treatment team within 24 hours of release from jail to schedule the ASI intake appointment with the clinical team.

**Required Fees:** Drug testing is the only required program fee. Vouchers will be provided to assist with drug screen panels while clients actively seek employment or reinstatement of their disability insurance. Clients will be made aware of the drug testing requirement during the screening process, prior to entry to the program.

**MAT:** The General Sessions DUI Court will accept participants who voluntarily elect Medication Assisted Treatment (MAT) as a provision of their treatment plan. MAT is covered through state and federal funding, Medicaid, private insurance, or private pay. Communication between the Recovery Court Team and the medical professionals prescribing medications must be authorized by the MAT participant prior to use. The DUI Court will work with credentialed MAT addiction specialists within the community to include but not limited to Buffalo Valley, Mending Hearts, Samaritan Recovery Community, and Mental Health Cooperative.

**Evidence-based treatment:** The DUI Court will employ evidence-based strategies to assess clients for early intervention through use of a valid and reliable screening tool to determine program eligibility. Once clients are deemed eligible, voluntarily choose to participate in the program, and are admitted into the DUI Court, the screen will be shared with the treatment team to determine immediate needs for treatment. Clients will be required to contact treatment within 24 hours of release from jail or admittance into the program if on bond, to schedule their ASI intake appointment. The ASI is a reliable and valid tool utilized to assess the client's level of need for treatment and will be administered within five days following program entry. Following the ASI, participants may be referred to other substance abuse treatment providers should a specific need arise with the participant. After referrals have been completed and funding obtained, the clinical team will develop an individualized treatment plan based upon assessments and provide a constellation of treatment strategies developed to meet the specific needs of each client. Some referrals may include Seeking Safety, Relapse Prevention, and MAT referrals.

**Addressing opioid, stimulant, and substance abuse reduction:** The plan to provide treatment and services to address opioid, stimulant, and substance abuse reduction includes partnering with multiple MAT providers who have been awarded the Comprehensive Opioid, Stimulant, and Substance Abuse Program (COSSAP) grant in Davidson County. The GSRC Program Manager, Lauren Berens, who will be overseeing the launch of the new DUI Court is a member of the Overdose Fatality Review Panel and Task Force, a committee consisting of local DEA, TBI, Health Department, the Medical Examiner, case managers responsibility for hospital overdoses, the coroner's office, the District Attorney's Office, COSSAP Coordinator, and the Metro Specialized Investigation Unit. Partnering with these community stakeholders increases access to research, knowledge, and resources to better serve the Court's target population.

**Training:** Team members will attend continuing education workshops and conferences annually to increase knowledge about best practice standards, including the annual national conference with NADCP, stand-alone seminars, webinars, NCDC trainings, and others.

**Referrals:** Each potential client's defense counsel will first seek approval from the District Attorney handling the case and then submit a referral to the DUI Court case manager. All referrals are vetted for any disqualifying prior convictions through the completion of an NCIC background check. The probation team will conduct the IDA **screening** tool to assess level of risk for reoffending (criminal history, peer associations, employment and financial status, status of interpersonal relationships) and level of need for treatment (drug involvement, co-occurring mental health disorders, level of motivation for change). The probation team will also review program conditions with the potential participant and communicate with the defense counsel regarding the client's plea and the next court date. After the client has consented, the probation officer shares the screen with the Clinical Director, who makes recommendations for treatment upon release from the corrections facility. The participant will schedule the ASI with the treatment team no later than five days following program entry. Assessed treatment commences immediately. The case manager will assist in obtaining grant funding for assessed treatment requirements as needed.

**Eligibility requirements** state clients of the DUI Court must be substance abusing or chemically dependent, must not be a violent offender, and must voluntarily choose to participate in the program. Further requirements include the client must be deemed high risk of reoffending by a reliable and valid instrument; must not have medical or severe mental health needs that would preclude the client from being able to participate in mandatory treatment, vocational, and judicial proceedings and appointments; must not have holds or pending charges in another

jurisdiction that have not been cleared; must not have a pending felony drug sale charge or conviction; must not be a confirmed gang member; and must not be on the Sex Offender Registry. Clients for the DUI Court must be charged/convicted of any DUI offense.

**Program Structure:** Clients may be accepted from General Sessions Court and Criminal Court (20<sup>th</sup> Judicial District and 2<sup>nd</sup> Circuit). The program can be completed in as little as 11 months and 29 days but may take some participants longer to complete pending program success and jail sentence length following conviction. The program will consist of five phases.

The **case management process** will primarily be the dual role of case managers at TCFC for identifying substance use-related needs and linking clients with resources and the probation officers who will aid in monitoring and advocating for clients' legal needs. Each member of the team aids in the coordinated case management process through service provision within their realm of expertise. The probation officers serve for participants throughout their program, while also functioning in the **community supervision** role. Responsibilities of the probation team include conducting the initial screening, conducting home and employment visits, reviewing drug screens and attendance to court-ordered requirements, maintaining clear and consistent communication with participants, and providing weekly updates during among others.

Davidson County has an abundance of local providers integrating **evidence-based treatment** into service provision who have established a partnership with the GSRC and will partner with the DUI Court. Some of these providers include TCFC, Mental Health Cooperative, Samaritan Recovery Community, Buffalo Valley, and The Next Door. Practices utilized by these agencies include CBT, trauma-informed CBT, Motivational Interviewing, and MAT referrals, to name a few. These partnerships meet the DUI Court objective of promoting positive and effective



interactions among criminal justice and community agencies by linking participants with community organizations, enhancing the collaboration among local treatment facilities.

The **recovery support services delivery plan** serves to meet the objective of reducing substance use disorder among participants through a connection with auxiliary treatment services tailored to meet the distinct needs of clients in the DUI Court program. The Clinical Director will oversee the development of a personalized treatment plan for each participant and assist with referrals to complementary services as needed. Clients will construct a foundation for continued recovery through individual therapy to determine root causes of substance use disorder, case management to identify gaps in services to reduce criminogenic risk, and connection to peer support services to begin building a recovery community. Additionally, therapeutic services will empower clients to focus on rebuilding and healing personal, familial, and societal relationships.

**Judicial supervision** will be provided by the DUI Court Judge, who plays an integral role on the team and has the unique ability to influence outcomes for participants through interactions during status hearings. Research has shown that these interactions are one of the greatest predictors of participant outcomes and best practices standards recommend the Judge spend no less than three minutes with each participant to achieve positive outcomes for clients (NADCP, 2013). During these interactions, the Judge will issue sanctions and incentives based upon the participant's behavior and their progress in the program and build rapport. Sanction and incentive decisions will be based on best practices and recommendations from team members during staffing.

Participants of the DUI court will be required to perform **randomized drug testing** a minimum of 2 times per week during their entire time in the program. The DUI court will contract with averhealth who follows all policy and procedure as recommended through NADCP

Best Practice Standards including: randomly administered drug testing; scheduled intervals of testing; frequency of testing occurs no less than twice a week during the first several months in the program; frequency thereafter depends on a participant's progress; direct observation of urine sample collection; verification of temperature and measurement of creatinine levels to determine extent of water loading; specific, detailed written procedures regarding collection, analysis and result reporting; documented chain of custody of each sample collected; quality control and quality assurance procedures for ensuring the integrity of the process; procedures for verifying accuracy when drug test results are contested. The standard panel for the DUI Court will include opiates, benzodiazepines, EtG, amphetamines, cocaine, THC and will also test for buprenorphine, and fentanyl on a regular basis. Creatinine and temperature are evaluated and recorded as well. Vouchers and the remaining cost of each test will be subsidized by the DUI Court budget. Drug testing results will be monitored by probation staff daily. Should a participant: test positive, fail to report for testing, was unable to provide a sample, tested with a low creatinine level, or attempted to use a false testing device, the participant will be contacted immediately by the probation officer to discuss the non-negative result. Should the defendant request a confirmation test, the program manager will contact averhealth to order it. Participants will also be instructed to attend the next status hearing date regardless if they are scheduled to provide increased supervision and address the Judge of any changes in their behavior or relapse that may have occurred.

**Incentives and sanctions:** The DUI Court will provide a highly individualized program intended for participants that want to change their life. Clearly defined strategies as outlined in the NADCP Best Practice Guidelines and NDCI Guiding Principles are documented and provided to participants at their intake appointment. When prosocial changes occur, the Judge will issue an

incentive. When antisocial behaviors or attitudes occur, the Judge will issue a sanction, and through the treatment representative, a therapeutic adjustment may be recommended. The Judge will be the sole individual responsible for issuing sanctions in a timely manner. The team discusses the antisocial or prosocial behavior, then reviews the sanction and incentive guidelines for the Judge to decide on an appropriate response. Each decision is individualized.

**Graduation requirements** include the participant having at least 90 days of negative random drug screens, having completed all court ordered requirements, having completed all assessed aspects of drug and alcohol treatment, having maintained employment, having earned their GED if needed, and having developed and demonstrated a plan for maintaining their independence. **Criteria for termination** from the program include a new arrest or conviction of misdemeanor or felony offense; having refused to comply with their case plan or treatment plan; having acted violently or making verbal or physical threats to other participants, DUI Court staff, or partner agency team members; having been dishonest repeatedly.

**Restitution costs** and all fees required for program participation will be communicated to clients during the review of the DUI Court conditions prior to admittance to the program and will be discussed throughout their program participation.

**Guiding Principles of DUI Courts:** The GSRC has worked diligently to incorporate and adhere to the NADCP Best Practice Standards, and the launch of the new DUI Court will be modeled after the GSRC, while specifically integrating the NCDC Guiding Principles for DUI Courts as follows: *NCDC Guiding Principle #1:* Planning with local community stakeholders to determine how to best serve both the target population while also maintaining public support through the creation of a program criterion that are consistent with the values of the local community members, partners, and stakeholders (NCDC, n.d.). *NCDC Guiding Principle #2:* The IDA

screening tool is used to identify criminogenic risk factors and needs for substance use treatment; the ASI is administered to determine specific clinical needs for each participants' individualized treatment plan. *NCDC Guiding Principle #3*: The treatment plan is developed by the clinical team, who make recommendations for each participant based on the ASI and refer clients to local community providers best suited to meet their individual treatment needs. The treatment team integrates the cognitive behavioral model into IOP groups for participants, such as MRT and Relapse Prevention. All clients receive aftercare planning and are required to attend community meetings so that they are prepared to maintain a lifestyle that is free from criminal-justice contacts. The probation team is trained in Motivational Interviewing and integrates the stages of change model into the community supervision approach. *Guiding Principle #4*: The probation team will review drug screens daily, conduct bi-monthly office visits with probationers, supervise stay away and restitution requirements, complete home visits, and maintain regular communication with the participants regarding any changes to their case plans. *Guiding Principle #5*: Community partnerships have been forged with many local agencies throughout the history of the GSRC. Utilizing the Partner Model, these agencies, committees, and members are encouraged to share concerns, provide guidance based upon their given roles, and actively work toward the shared mission of the DUI Court. *Guiding Principle #6*: The Judge who will oversee the court has extensive knowledge and experience working with treatment courts and has received training on the NADCP Best Practice model. The judge is a revered member of the Courts and the community at large, and will work to enhance efforts for the sustainability of the DUI Court, promote the mission of the court to the community, and communicate how the rigor of the program improves public safety. *Guiding Principle #7*: Case management will be the primary responsibility of the probation officers, who will glean information as a result of a

seamless and coordinated team effort, keeping in mind the unique challenges posed by clients with DUI charges. *Guiding Principle #8:* Community partnerships with local residential facilities, transitional housing agencies, and the BCC assist in the transportation of participants who are currently incarcerated to the facilities. Clients will also receive a bus pass to help with transportation needs. Additionally, the public defender will assist in the process of reinstating their license when desired. *Guiding Principle #9:* Program evaluation is provided in conjunction with Tennessee State University and tracks supervision and treatment variables in order measure short-term outcomes such as attendance at treatment, status hearings, and drug screens and longer-term outcomes including recidivism rates, cost effectiveness of the program, and the number of drug-free babies born as a result of participation in the program. *Guiding Principle #10:* Sustainability will come from additional grant applications following the conclusion of this grant period as well as requested funding from Metropolitan Government of Nashville and Davidson County.

**Monitoring of evidence-based interventions:** Treatment for substance use disorder will be provided by community partners who have existing relationships with GSRC and who utilize evidence-based practices for substance use disorder. Treatment providers attend staffing for court each week and provide consistent feedback regarding each client's progress with their treatment plan to the community supervision team. To ensure the effectiveness of treatment, the team's Clinical Director oversees the provision of services and cultivates an individualized treatment plan for each client. Client surveys are administered to determine level of satisfaction, and staff monitor whether clients are attaining their treatment goals. Additionally, members of the DUI Court team have established a trauma-informed open line of communication to identify opportunities to modify treatment plans and make referrals to meet the needs of each client on a

case-by-case basis. **The range of treatment modalities** includes individual therapy to determine root causes of substance use disorder, qualified staff to provide treatment for co-occurring disorders, specialized groups utilizing evidence-based curriculum in an environment that fosters understanding and support among peers in recovery, access to MAT services, and referrals to community partners for therapy.

**Identify, assess, and prioritize high-risk/high need persons:** Information regarding the GSRC will be disseminated to local attorneys who make referrals through community partnerships with the Tennessee Association of Criminal Defense Attorneys (TACDL), the Public Defenders' Office, and the District Attorneys' Office. Clients will then be assessed to determine risk and need level through use of a validated assessment tool. The DUI Court will utilize the IDA as it has been shown to be effective in identifying client risk level associated with impaired driving and need level for substance use treatment. The probation team will all receive training on this instrument and administer the tool to assess goodness of fit.

**Early intervention for post-adjudication cases** is achieved by intervention on the part of the DUI Court Team before the individual's criminogenic risk factors are increased due to continued incarceration. Clients who are admitted to the DUI Court can plea guilty and complete DUI Court as an order of their probation, which results in the conviction of a lesser offense if they complete the program. This reduces their criminogenic risk through a linkage with necessary substance use treatment, resources to meet mental health needs, housing assistance, continued supervision, and assistance in building a strong support network to help individuals successfully reduce criminal justice contacts and recurrence of use after completing the program. Individuals who graduate from the program have a reduction in the continuum of criminogenic risk as a result of not increasing their criminal history through additional incarceration.

**Community engagement:** It is integral when developing a DUI Court to include the community in its planning to ensure there is investment from community members and partner agencies. In the planning process of this court model, community engagement has included outreach to local stakeholders and partners including the District Attorney's Office, TACDL, the Public Defender's Office, local treatment providers, and the Steering Committee. Another objective of the DUI Court program is for participants to improve their **personal, familial, and societal relationships**. The Court will facilitate this relationship-building by connecting participants with individual and family counseling when appropriate, substance abuse treatment with family involvement, and approving travel passes to facilitate growth and healing. Participants will be referred to agencies to navigate child support, family court, driver's license reinstatement, and victims of domestic violence are introduced to the Jean Crowe Advocacy Center.

The DUI Court team has all received training on the **trauma-informed care model**. The Program Manager is certified by the GAINS Center for Trauma Informed Care for Criminal Justice Professionals and has attended numerous webinars and conferences to increase her knowledge of the model. The multidisciplinary team members have all received training on the trauma-informed care model, which has equipped them with a distinct knowledge base and skill set for working with high-risk and high-need populations. The DUI Court seeks to serve individuals by identifying the underlying causes of substance use disorder to reduce recidivism and aid in the development of positive coping skills while addressing trauma histories.

The state of Tennessee does not currently certify the DUI/DWI court model; however, the state does **adhere to a certified Recovery Court model**, and this Court will tailor its structure, strategies, and functionality to the pre-existing certified GSRC model. Additionally, foundational training on the DUI/DWI Court model will be requested for all team members.

**Participant treatment will be funded** largely through state and federal grants, SOAR, private insurance, TNCare, or the Tennessee Recovery Foundation. The Judge can waive the cost of several treatment and education classes. Almost all services provided by the DUI Court will be complementary.

**The plan to deliver services to provide opioid, stimulant, and substance abuse reduction** will include completion of the ASI, the creation of an individualized treatment plan, residential treatment when needed, referrals to MAT when appropriate, individual therapy, more than 200 hours of required IOP, engagement in peer support services to build a recovery community, referrals to ancillary substance use disorder and mental health treatment when needed, and aftercare for all participants. These **services will be provided** by TCFC and other local partner agencies such as Buffalo Valley, Samaritan Recovery Community, Then Next Door, and Mental Health Cooperative. The team will conduct assessments and prescreens for residential treatment after the individual treatment plans are developed by the clinical team, and a contact identified by each agency will facilitate intakes for clients.

### **Capabilities and Competencies**

Key GSRC team members include the Judge, program manager, prosecutor, public defender, treatment representative, and probation officers. The Judge interacts with each participant during status hearings and issues incentives and sanctions based upon their behaviors and program progress. He also determines if any adjustments are needed to participants' case plans after listening to updates from community partners during staffing. The program manager maintains accurate and timely records for the program, oversees fiscal and contractual obligations, facilitates communications between partner agencies and multidisciplinary team members, ensures policies and procedures are adhered to, oversees collection of performance data and



outcomes, schedules court sessions and meetings, and trains new team members. The prosecutor serves as an advocate for public safety, the interests of the victims involved, and holds participants accountable for meeting conditions of the GSRC program. They may also work to resolve other pending legal matters that impact participants' legal statuses or eligibility for the program. The prosecutor is also charged with providing guidance surrounding the eligibility of potential participants based on criminal history and NCIC reports. The public defender is an advocate for participants' constitutional rights and legal interests within the program. They are also involved in team collaboration to devise sanction and incentive ideas and ensure due process rights are adhered to. The public defender might also assist clients in getting their license back or linking them with representation on any criminal charges brought to the Court's attention while participating in the program. The treatment representative facilitates the completion of ASI assessments for clients and makes recommendations for clinical treatment plans as needed. Case management is also provided through the treatment center, which is overseen by the Treatment Director. **Community supervision is provided by the probation team, and responsibilities include** conducting screens, informing candidates of program requirements, providing case management throughout the program, reviewing drug screens and attendance records, providing weekly updates during staffing, enforcing travel restrictions, attending regular visits with clients, and maintaining clear and consistent communication with participants regarding changes to their case plans. All team members have the appropriate certifications, licensures, and educational backgrounds to serve in their respective roles, and all team members are trained in the treatment court model.

Personnel other than team members who are critical to the program's successful implementation include courthouse staff, security, court clerk, metro bus systems, and traffic

court staff. The organizational capabilities are fully funded annually and are able and agreeable to provide their specific roles and duties as necessary to successfully implement the proposed application.

**Partnerships/Evidence-based treatment:** The GSRC has a rich history of building community partnerships to best serve participants' needs for treatment and support, and many of these same local partners will continue to provide support and services to the clients of the DUI Court, as mentioned previously. Each of these community partners is transparent with regard to how they administer evidence-based treatment and will continue to work collaboratively with the Clinical Director on the multidisciplinary team to ensure these modalities are effective and align with the objectives of the court and Guiding Principles of DUI Courts. Quality will also be monitored through clients' abilities to meet treatment goals and conduction of client satisfaction surveys.

**Training:** Team members are required to attend continuing education workshops at least once per year to ensure up-to-date knowledge regarding best practices on topics to include substance use disorder and mental health treatment, community supervision, drug and alcohol testing, complementary treatment and social services, behavior modification, team collaboration, and constitutional and legal issues in drug courts. State employees also attend cultural diversity and inclusion trainings. Training is also offered at the annual NADCP conference, seminars, webinars, NDCI trainings, and others. New staff members receive formal training on the Recovery Court model and best practices in Recovery Courts as soon as practicable after assuming their position and attend continuing education workshops thereafter. Training topics include Program Goals, Mission Statement, Policy and Procedure Manual, Participant Manual, common partner agencies, NADCP Best Practices, Roles of each team member, Introduction to NDCI resources, Screening process and eligibility criterion, drug testing policy and procedure,

communication expectation, and any additional training areas as needed through a variety of resources such as NDCI, NADCP, and TDMHSAS.

**Effective communication** will be achieved through weekly staffing prior to court status hearings wherein team members from each discipline discuss the programmatic progress of each participant on the docket and their compliance with DUI Court conditions. Additionally, multidisciplinary team members regularly communicate as needed throughout the week when a compliance issue arises, when a therapeutic adjustment is required, or to discuss recommendations for new clients regarding treatment planning, housing plans, legal matters, or any other immediate concerns. An MOU between partnering agencies and key team members of the DUI Court will be established to ensure full understanding of the expectations and shared vision for the program.

### **Plan for Collecting the Data Required for Solicitation's Performance Measures**

The DUI Court will participate in data reporting and outcomes evaluation processes to consistently strive for improvements in program efficiency and effectiveness through a performance improvement component and will submit data to BJA's performance reporting systems as requested. The TN-WITS Web-Based Reporting System is currently used to track demographic, performance, and outcome data and is updated continuously to allow for accuracy. Other databases that provide data collection tools include the adult probation database, averhealth, and participant surveys. Data will be reviewed by an independent evaluator to be determined pending award. Persons assisting in the evaluation of program processes and outcomes will be vetted to ensure there are no conflicts of interest to include dual roles, investigators evaluating their own previous work with the program, or financial interests that would compromise the integrity and objectivity of the evaluation. Referrals that were denied

admittance to the DUI Court will also be reviewed in an effort to evaluate the program's acceptance and inclusion practices. The data reviewed and evaluated shall include program efficiency measures; program effectiveness measures; cultural inclusion data; review of program and service needs and availability; identification of program strengths and needs; a review of Policies and Procedures and updates of all program materials; and identification of team training needs.

**Quarterly reviews:** The program manager and one of the probation team members will work collaboratively to complete quarterly reviews of the number of participants served as compared to the projected number of participants to be served. They will also monitor the Time Task Plan and measure it against goals on a quarterly basis.

**Client community reintegration** plans include monitoring the stabilization of each client throughout their program to determine if they are meeting treatment goals and identify any gaps in service provision. As clients near the end of their program and show consistency in their ability to maintain employment, meet treatment goals, maintain housing, pass all drug screens, meet their financial and health needs, and maintain a pro-social recovery network, plans are put in place to ensure their continued success. Client may move out of transitional living and maintain a personal residence. Aftercare is provided for all clients.

**The sustainability plan** for continuing DUI Court operations after federal assistance has ended will include requesting additional funding from the Metropolitan Nashville Government through the General Sessions Financial Office and applying for additional federal grants. Ongoing coalition-building by key DUI Court team members will be paramount to developing community trust and a promising political and social climate so that this program is viewed in a positive light and regarded as a necessary function within the local jurisdiction's approach to

jurisprudence. Through this process, further local funding and support from influential community and political leaders will help shape the future of the DUI Court.

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Year 1

Year 2

Year 3

Year 4

Total

Personnel

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

Fringe Benefits

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

Travel

\$2,124.36

\$4,026.00

\$4,026.00

\$4,026.00

\$14,202.36

Equipment

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

Supplies

\$510.00

\$382.50

\$382.50

\$382.50

\$1,657.50

Construction

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

SubAwards

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

Procurement Contracts

\$47,000.00

\$69,000.00

\$69,000.00

\$69,000.00

\$254,000.00

Other Costs

\$4,980.00

\$8,900.00

\$8,900.00

\$8,900.00

\$31,680.00

Total Direct Costs

\$54,614.36

\$82,308.50

\$82,308.50

\$82,308.50

\$301,539.86

Indirect Costs

\$17,066.99

\$25,721.41

\$25,721.41

\$25,721.41

\$94,231.21

Total Project Costs

\$71,681.35

\$108,029.91

\$108,029.91

\$108,029.91

\$395,771.00

Yearly Match Amount

\$13,653.59

\$20,577.13

\$20,577.13

\$20,577.13

\$75,384.98

Total

Percentage

Federal Funds

100.00%

Match Amount

\$75,385

25%

Program Income Amount

0%

### Budget Detail Summary View

	Budget Category
	Personnel
	Fringe Benefits
	Travel

	<b>Budget Year</b>
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Year 1

	Purpose of Travel	Location	Type of Expense	Basis	Cost	Quantity	# Of Staff	# Of Trips	Total Cost
1	TARCP Conference	Murfreesboro, TN	Mileage	Mile	\$32.04	3.00	3.00	1.00	\$288.36
2	TARCP Conference	Murfreesboro, TN	Meals	Day	\$96.00	3.00	3.00	1.00	\$864.00
3	NADCP Conference	Nashville, TN	Other	N/A	\$20.00	1.00	3.00	4.00	\$240.00
4	NADCP Conference	Nashville, TN	Meals	Day	\$61.00	1.00	3.00	4.00	\$732.00

Travel Total Cost  
\$2,124.36

Additional Narrative

<b>Year 2</b>								
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	Purpose of Travel	Location	Type of Expense	Basis	Cost	Quantity	# Of Staff	# Of Trips	Total Cost
1	TARCP Conference	Murfreesboro, TN	Mileage	Mile	\$32.04	3.00	3.00		\$0.00
2	TARCP Conference	Murfreesboro, TN	Meals	Day	\$96.00	3.00	3.00		\$0.00
3	NADCP Conference	Unknown	Transportation	Round-Trip	\$350.00	1.00	3.00	1.00	\$1,050.00
4	NADCP Conference	Unknown	Lodging	Night	\$172.00	4.00	3.00	1.00	\$2,064.00

**5** NADCP Conference Unknown Meals Day \$76.00 4.00 3.00 1.00 \$912.00

Travel Total Cost  
\$4,026.00

Additional Narrative

Year 3

	Purpose of Travel	Location	Type of Expense	Basis	Cost	Quantity	# Of Staff	# Of Trips	Total Cost
<b>1</b>	TARCP Conference	Murfreesboro, TN	Mileage	Mile	\$32.04	3.00	3.00		\$0.00
<b>2</b>	TARCP Conference	Murfreesboro, TN	Meals	Day	\$96.00	3.00	3.00		\$0.00
<b>3</b>	NADCP Conference	Unknown	Transportation	Round-Trip	\$350.00	1.00	3.00	1.00	\$1,050.00
<b>4</b>	NADCP Conference	Unknown	Lodging	Night	\$172.00	4.00	3.00	1.00	\$2,064.00
<b>5</b>	NADCP Conference	Unknown	Meals	Day	\$76.00	4.00	3.00	1.00	\$912.00

Travel Total Cost  
\$4,026.00

Additional Narrative

Year 4

	Purpose of Travel	Location	Type of Expense	Basis	Cost	Quantity	# Of Staff	# Of Trips	Total Cost
<b>1</b>	TARCP Conference	Murfreesboro, TN	Mileage	Mile	\$32.04	3.00	3.00		\$0.00

<b>2</b>	TARCP Conference	Murfreesboro, TN	Meals	Day	\$96.00	3.00	3.00	\$0.00
<b>3</b>	NADCP Conference	Unknown	Transportation	Round-Trip	\$350.00	1.00	3.00	\$1,050.00
<b>4</b>	NADCP Conference	Unknown	Lodging	Night	\$172.00	4.00	3.00	\$2,064.00
<b>5</b>	NADCP Conference	Unknown	Meals	Day	\$76.00	4.00	3.00	\$912.00

Travel Total Cost  
\$4,026.00

Additional Narrative

Equipment

Supplies

**Budget Year**

Year 1

	<b>Purpose of Supply Items</b>	<b># of Items</b>	<b>Unit Cost</b>	<b>Total Cost</b>
<b>1</b>	Printed Participant Materials	75.00	\$2.00	\$150.00
<b>2</b>	Office Supplies	1.00	\$120.00	\$120.00
<b>3</b>	Graduation Certificate Materials	4.00	\$60.00	\$240.00

Supplies Total Cost

\$510.00

Additional Narrative

Year 2			
Purpose of Supply Items	# of Items	Unit Cost	Total Cost
1 Printed Participant Materials	75.00	\$2.00	\$150.00
2 Office Supplies	1.00	\$120.00	\$120.00
3 Graduation Certificate Materials	75.00	\$1.50	\$112.50

Supplies Total Cost  
\$382.50

Additional Narrative

Year 3			
Purpose of Supply Items	# of Items	Unit Cost	Total Cost
1 Printed Participant Materials	75.00	\$2.00	\$150.00
2 Office Supplies	1.00	\$120.00	\$120.00
3 Graduate Certificate Materials	75.00	\$1.50	\$112.50

Supplies Total Cost



\$382.50

Additional Narrative

Year 4			
Purpose of Supply Items	# of Items	Unit Cost	Total Cost
1 Printed Participant Materials	75.00	\$2.00	\$150.00
2 Office Supplies	1.00	\$120.00	\$120.00
3 Graduation Certificate Material	75.00	\$1.50	\$112.50

Supplies Total Cost  
\$382.50

Additional Narrative

Construction
SubAwards
Procurement Contracts
Budget Year

Year 1

Item	Description	Country	State/U.S. Territory	City	Total Cost
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<b>1</b>	Drug testing/SCRAM device	Abstinence monitoring	United States	Tennessee	Nashville	\$30,000.00
<b>2</b>	Therapy	One-on-one Trauma Therapy	United States	Tennessee	Nashville	\$12,000.00
<b>3</b>	Evaluator	Program evaluation	United States	Tennessee	Nashville	\$5,000.00

Procurement Cost  
\$47,000.00

Purpose of Travel	Location	Type of Expense	Cost	Duration or Distance	# of Staff	Total Cost
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No items

Consultant Travel Total Cost  
0.00

Procurement Total Cost  
\$47,000.00

Additional Narrative

Year 2						
Item	Description	Country	State/U.S. Territory	City	Total Cost	
<b>1</b>	Drug testing/SCRAM/Interlock	Abstinence Monitoring	United States	Tennessee	Nashville	\$40,000.00
<b>2</b>	Therapy	One-on-one trauma therapy	United States	Tennessee	Nashville	\$24,000.00
<b>3</b>	Evaluator	program evaluation	United States	Tennessee	Nashville	\$5,000.00

Procurement Cost

\$69,000.00

Purpose of Travel	Location	Type of Expense	Cost	Duration or Distance	# of Staff	Total Cost
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No items

Consultant Travel Total Cost

0.00

Procurement Total Cost

\$69,000.00

Additional Narrative

Year 3

Item	Description	Country	State/U.S. Territory	City	Total Cost
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1	Drug testing/SCRAM/Interlock	Abstinence Monitoring	United States	Tennessee	Nashville	\$40,000.00
2	Therapy	One-on-one trauma therapy	United States	Tennessee	Nashville	\$24,000.00
3	Evaluator	Program Evlauation	United States	Tennessee	Nashville	\$5,000.00

Procurement Cost

\$69,000.00

Purpose of Travel	Location	Type of Expense	Cost	Duration or Distance	# of Staff	Total Cost
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No items

Consultant Travel Total Cost

0.00

Procurement Total Cost

\$69,000.00

Additional Narrative

					Year 4	
Item	Description	Country	State/U.S. Territory	City	Total Cost	
1	drug testing/SCRAM/Interlock	Abstinence monitoring	United States	Tennessee	Nashville	\$40,000.00
2	Therapy	One-on-one trauma therapy	United States	Tennessee	Nashville	\$24,000.00
3	Evaluator	Program Evaluation	United States	Tennessee	Nashville	\$5,000.00

Procurement Cost

\$69,000.00

Purpose of Travel	Location	Type of Expense	Cost	Duration or Distance	# of Staff	Total Cost
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No items

Consultant Travel Total Cost

0.00

Procurement Total Cost

\$69,000.00

Additional Narrative

	Other Costs
	Budget Year

Year 1

Description	Quantity	Basis	Costs	Length of Time	Total Costs
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1	Rent	5.00	standard Transitional Housing Weekly rate	\$140.00	7.00	\$4,900.00
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2	Medication	10.00	standard basic medication costs	\$8.00	1.00	\$80.00
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Other Costs Total Cost  
\$4,980.00

Additional Narrative

Year 2

Description	Quantity	Basis	Costs	Length of Time	Total Costs
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1	Rent	9.00	Standard TL Rate	\$140.00	7.00	\$8,820.00
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2	Medication	10.00	Standard basic med cost	\$8.00	1.00	\$80.00
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Other Costs Total Cost  
\$8,900.00

Additional Narrative

Year 3

Description	Quantity	Basis	Costs	Length of Time	Total Costs
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<b>1</b>	Rent	9.00	Standard TL nightly rate	\$140.00	7.00	\$8,820.00
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<b>2</b>	Medication	10.00	standard basic meds	\$8.00	1.00	\$80.00
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Other Costs Total Cost  
\$8,900.00

Additional Narrative

	Year 4
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Description	Quantity	Basis	Costs	Length of Time	Total Costs
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<b>1</b>	Rent	9.00	Standard TL rate nightly	\$140.00	7.00	\$8,820.00
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<b>2</b>	Medication	10.00	Standard basic med cost	\$8.00	1.00	\$80.00
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Other Costs Total Cost  
\$8,900.00

Additional Narrative

	Indirect Costs
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	Budget Year
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	Year 1
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Description	Base	Indirect Cost Rate	Total Cost
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<b>1</b> General Sessions Court Required	\$54,614.36	31.25%	\$17,066.99
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Indirect Costs Total Cost  
**\$17,066.99**

**Additional Narrative**

Metro doesn't have an approved rate from a cognizant agency and is not required to have one based on Federal regulations. The Federal CFR Part 200 defines in Section 414: agencies receiving \$35 million or more in direct Federal awards in their fiscal year are required to submit their indirect cost rate proposal (IDCRP) to their cognizant agency for review and approval (See CFR Appendix VII, Section D.1.a and D.1.b). Metro does not have any agencies receiving above the Federal threshold in direct Federal awards. Link to our website

info: <http://www.nashville.gov/Portals/0/SiteContent/Finance/docs/Cost%20Accounting/OMB%20Super%20Circular.pdf>

Further, Federal 2 CFR Part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards does not require a Local Government to have an approved cost allocation plan/indirect cost rate proposal from a cognizant agency. The CFR requires Metro to have the information on file for audit purposes as outlined in the section below. Metro doesn't have a cognizant agency and has never been requested by the Federal Agency that provides the most funding to Metro to submit our cost allocation plan or proposal for approval.

Year 2			
Description	Base	Indirect Cost Rate	Total Cost

<b>1</b> General Sessions Court Required	\$82,308.50	31.25%	\$25,721.41
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Indirect Costs Total Cost  
**\$25,721.41**

**Additional Narrative**

Metro doesn't have an approved rate from a cognizant agency and is not required to have one based on Federal regulations. The Federal CFR Part 200 defines in Section 414: agencies receiving \$35 million or more in direct Federal awards in their fiscal year are required to submit their indirect cost rate proposal (IDCRP) to their cognizant agency for review and approval (See CFR Appendix VII, Section D.1.a and D.1.b). Metro does not have any agencies receiving above the Federal threshold in direct Federal awards. Link to our website

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Year 3			
Description	Base	Indirect Cost Rate	Total Cost
<b>1</b> General Sessions Court required	\$82,308.50	31.25%	\$25,721.41

Indirect Costs Total Cost  
 \$25,721.41

Additional Narrative

Metro doesn't have an approved rate from a cognizant agency and is not required to have one based on Federal regulations. The Federal CFR Part 200 defines in Section 414: agencies receiving \$35 million or more in direct Federal awards in their fiscal year are required to submit their indirect cost rate proposal (IDCRP) to their cognizant agency for review and approval (See CFR Appendix VII, Section D.1.a and D.1.b). Metro does not have any agencies receiving above the Federal threshold in direct Federal awards. Link to our website info: <http://www.nashville.gov/Portals/0/SiteContent/Finance/docs/Cost%20Accounting/OMB%20Super%20Circular.pdf>

Further, Federal 2 CFR Part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards does not require a Local Government to have an approved cost allocation plan/indirect cost rate proposal from a cognizant agency. The CFR requires Metro to have the information on file for audit purposes as outlined in the section below. Metro doesn't have a cognizant agency and has never been requested by the Federal Agency that provides the most funding to Metro to submit our cost allocation plan or proposal for approval.

Year 4			
Description	Base	Indirect Cost Rate	Total Cost



<b>1</b>	General Sessions Court required	\$82,308.50	31.25%	\$25,721.41
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Indirect Costs Total Cost

**\$25,721.41**

Additional Narrative

Metro doesn't have an approved rate from a cognizant agency and is not required to have one based on Federal regulations. The Federal CFR Part 200 defines in Section 414: agencies receiving \$35 million or more in direct Federal awards in their fiscal year are required to submit their indirect cost rate proposal (IDCRP) to their cognizant agency for review and approval (See CFR Appendix VII, Section D.1.a and D.1.b). Metro does not have any agencies receiving above the Federal threshold in direct Federal awards. Link to our website

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DOES THIS BUDGET CONTAIN CONFERENCE COSTS WHICH IS DEFINED BROADLY TO INCLUDE MEETINGS, RETREATS, SEMINARS, SYMPOSIA, AND TRAINING ACTIVITIES?

Yes

INITIATE  
COMPLETE AND SUBMIT  
REVIEW  
BUDGET REVIEW  
AWARDPACKAGEREADY

**Information**

ApplicationInfo GrantSubmissionHeader OpportunityID

**O-BJA-2021-46003**

SolicitationID

**SI-46003**

Solicitation Title

**BJA FY 21 DC**

Application Case ID

**A-159026**

Application Group Case ID

**AG-27001**

SF424\_2\_1

## Audit

### Grant Package

- Standard Applicant Information
- Proposal Abstract
- Proposal Narrative
- **Budget and Associated Documentation**
  - Conference Costs
  - Personnel
  - Fringe Benefits
  - Travel
  - Equipment
  - Supplies
    - Construction
  - SubAwards (Subgrants)
  - Procurement Contracts
  - Other Costs
  - Indirect Costs
  - [Consolidated Category Summary](#)
  - Budget / Financial Attachments
- Additional Application Components
- Disclosure And Assurances
- Other
- Certify and Submit

### Recent followers

(0)

No items

### Participants

(2)

*VW*  
VAUGHN WILSON  
Entity Administrator

*LB*  
Lauren Berens


## [Solicitation Instructions](#)

Application Submitter

Back

**APPLICATION FOR Misdemeanor DUI Court BJA Grant**

**METROPOLITAN GOVERNMENT OF NASHVILLE AND DAVIDSON COUNTY**

  
\_\_\_\_\_  
Director  
Department of General Sessions Court

4/8/21  
\_\_\_\_\_  
Date